# This Page Is Inserted by IFW Operations and is not a part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

### THIS PAGE BLANK (USPTO)

#### **PCT**

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:

B01L 3/00

(11) International Publication Number:

WO 93/24231

**A1** 

(43) International Publication Date:

9 December 1993 (09.12.93)

(21) International Application Number:

PCT/US93/04912

(22) International Filing Date:

20 May 1993 (20.05.93)

(30) Priority data:

07/887,526

21 May 1992 (21.05.92)

US

(71) Applicant: BIOSITE DIAGNOSTICS INCORPORATED [US/US]; 11030 Roselle Street, Suite D, San Diego, CA 92121 (US).

(72) Inventor: BUECHLER, Kenneth, Francis; 12523 Manifesto Place, San Diego, CA 92130 (US).

(74) Agents: CONSALVI, Mary, S. et al.; Lyon & Lyon, 611
West Sixth Street, 34th Floor, Los Angeles, CA 90017

(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT SE)

**Published** 

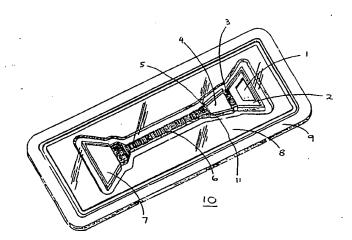
With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: DIAGNOSTIC DEVICES AND APPARATUS FOR THE CONTROLLED MOVEMENT OF REAGENTS WITHOUT MEMBRANES

#### (57) Abstract

The assay devices, assay systems and device components of this invention comprise at least two opposing surfaces disposed a capillary distance apart, at least one of which is capable of immobilizing at least one target ligand or a conjugate in an amount related to the presence or amount of target ligand in the sample from a fluid sample in a zone for controlled fluid movement to, through or away the zone. The inventive device components may be incorporated into conventional assay devices with membranes or may be used in the inventive membrane-less devices herein described and claimed. These components include flow control elements, measurement elements, time gates, elements for the elimination of pipetting steps, and generally, elements for the controlled flow, timing, delivery, incubation, separation, washing and other steps of the assay process.



#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| AÌ | Austria                  | FR  | France                       | MR  | Mauritania               |
|----|--------------------------|-----|------------------------------|-----|--------------------------|
| AU | Australia                | GA  | Gabon                        | MW  | Malawi                   |
| 88 | Barhados                 | GB  | United Kingdom               | NL  | Netherlands              |
| BE | Belgium                  | GN  | Guinea                       | NO  | Norway                   |
| BF | Burkina Faso             | GR  | Greece                       | NZ  | New Zealand              |
| BG | Bulgaria                 | HU  | Hungary                      | PL  | Poland                   |
| BJ | Benin                    | ΙE  | Ireland                      | PT  | Portugal                 |
| BR | Brazil                   | IT  | Italy                        | RO  | Romania                  |
| CA | Canada                   | JР  | Japan                        | RU  | Russian Federation       |
| CF | Central African Republic | KP  | Democratic People's Republic | SD  | Sudan                    |
| CC | Congo                    |     | of Korea                     | SE  | Sweden                   |
| CH | Switzerland              | KR  | Republic of Korea            | SK  | Slovak Republic          |
| CI | Côte d'Ivoire            | KZ  | Kazakhstan                   | SN  | Senegal                  |
| CM | Cameroon                 | L.f | Liechtenstein                | SU  | Soviet Union             |
| cs | Czechoslovakia           | LK  | Sri Lanka                    | TD  | Chad                     |
| CZ | Czech Republic           | 1.0 | Luxembourg                   | TG  | Togo                     |
| DE | Germany                  | MC  | Монасо                       | UA  | Ukraine                  |
| DK | Denmark                  | MC  | Madagascar                   | US  | United States of America |
| ES | Spàin                    | MI. | Mali                         | VN  | Viet Nam                 |
| FI | Finland                  | MN  | Moneolia                     | ••• |                          |

#### DESCRIPTION

### Diagnostic Devices and Apparatus for the Controlled Movement of Reagents Without Membranes

This application is a continuation-in-part of pending App. Ser. No. 07/887,526 filed May 21, 1992, from which priority is claimed.

#### Field of the Invention

This invention relates to devices for conducting assays, including qualitative, semi-quantitative quantitative determinations of one or more analytes in a single test format. Unlike assay devices of the prior art, the inventive assay devices described herein do not 10 involve the use of bibulous materials, such as papers or membranes. The inventive devices of the present invention rely on the use of defined surfaces, including grooved surfaces, and capillarity alone or in various combinations move the test reagents. The inventive devices 15 described herein provide means for the controlled, timed movement of reagents within the device and do not require precise pipetting steps. The concepts and devices of the present invention are especially useful in the performance of immunoassays of environmental and industrial fluids, 20 such as water, and biological fluids and products, such as urine, blood, serum, plasma, spinal and amniotic fluids and the like.

#### Background of the Invention

over the years, numerous simplified test systems have been designed to rapidly detect the presence of a target ligand of interest in biological, environmental and industrial fluids. In one of their simplest forms, these assay systems and devices usually involve the combination of a test reagent which is capable of reacting with the target ligand to give a visual response and an absorbent paper or

20

25

membrane through which the test reagents flow. products, glass fibers and nylon are commonly used for the absorbent materials of the devices. In certain cases, the portion of the absorbent member containing the test reagents is brought into contact, either physically or through capillarity, with the sample containing the target The contact may be accomplished in a variety of Most commonly, an aqueous sample is allowed to traverse a porous or absorbent member, such as porous 10 polyethylene or polypropylene or membranes by capillarity through the portion of the porous or absorbent member containing the test reagents. In other cases, the test reagents are pre-mixed outside the test device and then added to the absorbent member of the device to ultimately generate a signal.

Commercially available diagnostic products employ a concentrating zone methodology. In these products, such ICON<sup>R</sup> (Hybritech Incorporated), TESTPACK<sup>TM</sup> (Abbott Laboratories) or ACCULEVELR (Syva Corporation), the device contains an immunosorbing or capture zone within a porous member to which a member of a specific binding pair is immobilized. The surface of the porous member also may be treated to contain one or more elements of a signal development system. In these devices, there is a liquid absorbing zone which serves to draw liquid through the immunosorbing zone, to absorb liquid sample and reagents and to control the rate at which the liquid is drawn through the immunosorbing zone. The liquid absorbing zone is either an additional volume of the porous member outside of the immunosorbing zone or an absorbent material in capillary communication with the immunosorbing zone. Many commercially available devices and assay systems also involve a wash step in which the immunosorbing zone is washed free of non-specifically bound signal generator so 35 that the presence or amount of target ligand in the sample can be determined by examining the porous member for a signal at the appropriate zone.

The devices described herein do not use bibulous or porous materials, such as membranes and the like as substrates for the immobilization of reagents or to control the flow of the reagents through the device. advantage of, for example, membranes in diagnostic devices is that on both microscopic and macroscopic scales the production of membranes is not easily reproducible. can result in diagnostic devices which have differential properties of non-specific binding and flow characteris-10 tics. The time gates of this invention can, however, be embedded in membranes or used in devices with membranes. Membranes are very susceptible to non-specific binding which can raise the sensitivity limit of the assay. the case of immunochromatographic assay formats such as those described in U.S. Pat. Nos. 4,879,215, 4,945,205 and 15 4,960,691, the use of membranes as the diagnostic element requires an even flow of reagents through the membrane. problem of uneven flow of assay reagents immunochromatographic assays has been addressed in U.S. 20 Patents 4,756,828, 4,757,004 and 4,883,688, incorporated herein by reference. These patents teach that modifying the longitudinal edge of the bibulous material controls the shape of the advancing front. The devices of the current invention circumvent these membrane associated problems by the use of defined surfaces, including grooved surfaces, capillarity, time gates, novel capillary means, including channels and novel fluid flow control means alone or in various combinations, all of which constructed from non-absorbent materials. In a preferred 30 mode of this invention, the capillary channel of diagnostic element is composed of grooves which perpendicular to the flow of the assay reagents. The manufacture of grooved surfaces can be accomplished by injection molding and can be sufficiently reproducible to provide control of the flow of reagents through the 35 device.

30

3

100

In addition to the limitations of the assay devices and systems of the prior art, including the limitations of using absorbent membranes as carriers for sample and reagents, assay devices generally involve numerous steps. including critical pipetting steps which must be performed by relatively skilled users in laboratory settings. Accordingly, there is a need for one step assay devices and systems, which, in addition to controlling the flow of reagents in the device, control the timing of the flow of 10 reagents at specific areas in the device. In addition, there is a need for assay devices which do not require critical pipetting steps but still perform quantitative and quantitative determinations. The inventive devices and methods of this invention satisfy these needs and others by introducing devices which do not require precise pipetting of sample, which do not use absorbent members, which include novel elements called time gates for the controlled movement of reagents in the device and which are capable of providing quantitative assays.

#### **Definitions**

15

20

30

In interpreting the claims and specification, the following terms shall have the meanings set forth below.

Target ligand - The binding partner to one or more 25 receptors.

Ligand - Binding partner to a ligand receptor.

Ligand Analogue - A chemical derivative of the target ligand which may be attached either covalently or noncovalently to other species, for example, to the signal development element. Ligand analogue and target ligand may be the same and both are capable of binding to the receptor.

Ligand Analogue Conjugate - A conjugate of a ligand analogue and a signal development element;

15

20

25

Signal Development Phase - The phase containing the materials involving the signal development element to develop signal, e.g., an enzyme substrate solution.

Receptor - Chemical or biochemical species capable of reacting with or binding to target ligand, typically an antibody, a binding fragment, a complementary nucleotide sequence or a chelate, but which may be a ligand if the assay is designed to detect a target ligand which is a receptor. Receptors may also include enzymes or chemical reagents that specifically react with the target ligand.

Ligand Receptor Conjugate - A conjugate of a ligand receptor and a signal development element.

Signal Development Element - The element which directly or indirectly causes a visually or instrumentally detectable signal as a result of the assay process. Receptors and ligand analogues may be bound, either covalently or noncovalently to the signal development element to form a conjugate. The element of the ligand analogue conjugate or the receptor conjugate which, in conjunction with the signal development phase, develops the detectable signal, e.g., an enzyme.

Reaction Mixture - The mixture of sample suspected of containing target ligand and the reagents for determining the presence or amount of target ligand in the sample, for example, the ligand analogue conjugate or the receptor conjugate. As used herein the Reaction Mixture may comprise a proteinaceous component which may be the target, a component of the sample or additive (e.g., serum albumin, gelatin, milk proteins).

Ligand Complement - A specialized ligand used in labelling ligand analogue conjugates, receptors, ligand analogue constructs or signal development elements.

Ligand Complement Receptor - A receptor for ligand complement.

Ligand Analogue-Ligand Complement Conjugate - A conjugate composed of a ligand analogue, a ligand complement and a signal development element.

Capture Efficiency - The binding efficiency of the component or components in the reaction mixture, such as the ligand analogue conjugate or the receptor conjugate, to the capture zone of the diagnostic element.

Capture Zone - The area on the diagnostic element which binds at least one component of the reaction mixture, such as the ligand analogue conjugate or the receptor conjugate.

Capillarity - The state of being capillary or the exhibition of capillary action. Capillarity can be affected by the solid surface or the liquid surface or both.

Biosensor - Any electrochemical, optical, electrooptical or acoustic/mechanical device which is used to measure the presence or amount of target ligands. example, electrochemical biosensors utilize potentiometric and amperometric measurements, optical biosensors utilize fluorescence, luminescence and evanescent absorbance, Acoustic/mechanical biosensors utilize piezoelectric crystal resonance, surface acoustic waves, fieldeffect transistors, chemical field-effect transistors and Biosensors can also enzyme field-effect transistors. detect changes in the physical properties of solutions in which receptor binding events take place. For example, biosensors may detect changes in the degree of agglutination of latex particles upon binding antigen or they may detect changes in the viscosity of solutions in response to receptor binding events.

#### Description of the Drawings

Figure 1 is a partially schematic, top perspective view of a device in accordance with the present invention.

Figure 1a is a partially schematic, perspective exploded view of the device showing the detail in the area of the sample addition reservoir, the sample-reaction barrier, the reaction chamber, the time gate and the beginning of the diagnostic element.

5

15

20

25

30

÷...

, ,,

...

Ţ.,

. T.

rigure 1b is a partially schematic, perspective exploded view of the device showing the detail in the area of the optional reagent reservoir, the sample addition reservoir, the sample-reaction barrier, the reaction chamber, the time gate and the beginning of the diagnostic element.

Figure 1c is a partially schematic, perspective exploded view of the device showing the detail in the area of the optional reagent reservoir in fluid contact with the sample addition reservoir and the reaction chamber.

Figure 1d is a partially schematic, perspective cutaway view of the flow control means.

Figure 2 is a partially schematic, perspective view of a second device in accordance with this present invention, which may be used to add pre-mixed reaction mixtures.

Figure 3 is a partially schematic top view of the diagnostic element showing some potential placements of capture zones.

20 Figure 4 is a partially schematic, perspective view of a used reagent reservoir.

Figure 5 is a partially schematic view of embodiments of these devices which are columnar or have curved opposing surfaces.

Figure 6 is a top view of time gates.

Figure 7 shows typical dimensions for a preferred time gate.

Figure 8 is a top view of sequential time gates.

#### Summary of the Invention

The assay devices, assay systems and device components of this invention comprise at least two opposing surfaces disposed a capillary distance apart, at least one of which is capable of immobilizing at least one target ligand or a conjugate in an amount related to the presence or amount of target ligand in the sample from a fluid sample in a zone for controlled fluid movem nt to, through or

25

away the zone. The inventive device components may be incorporated into conventional assay devices with membranes or may be used in the inventive membrane-less devices herein described and claimed. These components include flow control elements, measurement elements, time gates, elements for the elimination of pipetting steps, and generally, elements for the controlled flow, timing, delivery, incubation, separation, washing and other steps of the assay process.

#### Detailed Description of the Invention 10

20

The present invention is directed to diagnostic testing devices for determining the presence or amount of at least one target ligand. Figure 1 shows a preferred embodiment of a device 10 according to the invention. 15 Generally, the devices of the invention have thicknesses of about 2 mm to 15 mm, lengths of about 3 cm to 10 cm and The dimensions may be widths of about 1 cm to 4 cm. adjusted depending on the particular purpose of the assay. One device of this invention, as depicted in Fig. 1, generally illustrates some features of the inventive devices and portions of devices herein disclosed and claimed. device 10 comprises various elements, a sample addition zone 1, a sample addition reservoir 2, a sample reaction barrier 3, a reaction chamber 4, a time gate 5, a diagnostic element 6, and a used reagent reservoir 7. devices are comprised of capillary channels which are formed when a top member 8 is placed on the bottom member 9 a capillary distance apart and which move the reagents and sample throughout the device. The top and bottom members may be married, the various chambers sealed and the capillaries formed by a number of techniques, including but not limited to, gluing, welding by ultrasound, riveting and the like. The elements of the device can be used in various combinations with the diagnostic element 6 to achieve a variety of desired functions. As one skilled in the art will recognize these elements may be combined to perform one-step or multistep assays. The devices 10 may also be used in the formation of reaction mixtures for the assay process. The device 20 in Fig. 2 may be used to add pre-mixed reaction mixtures for the generation of signal which relates to the presence or amount of the target ligand.

An optional reagent chamber 17 may be incorporated into device 10 or 20 as depicted in Fig. 1b and Fig. 1c. The devices 10 and 20 may also be used with an optional fluid control means 18 as shown in Fig. 1d.

Features include, but are not limited to: 1) diagnostic elements which are not comprised of bibulous materials, such as membranes, 2) means to control the volume of sample or reaction mixture, 3) time gates, 4) novel capillary means, termed fingers herein and 5) novel flow con-15 trol means, sometimes referred to as a "gap" herein and; 6) used reagent reservoir which prevents backward flow of: Those of skill in the art will appreciate that these elements are separately novel and nonobvious, and may be incorporated into diagnostic devices in various combinations and may be used with other elements known to those skilled in the art to achieve novel and nonobviousdiagnostic test devices and heretofore unrealized benefits.

25 Each of the elements of devices 10 and 20 will be described separately, then representative descriptions of the devices of this invention will follow.

#### Sample Addition Zone

Referring to Figs. 1 and 2, the sample addition zone 10 1 of the devices 10 and 20 is the area where sample is introduced to the device. The sample addition zone 1 can be a port of various configurations, that is, round, oblong, square and the like or the zone can be a trough in the device.

#### Sample Addition Reservoir

Referring to Figs. 1 and 2, the sample addition reservoir 2 is an element of the device which receives the sample. Referring now to Fig. 1, the volume of the sample 5 addition reservoir 2 should be at least the volume of the reaction chamber 4 or greater. The sample addition reservoir 2 can be a capillary space or it can be an open trough. In addition, a filter element can be placed in or on the sample addition reservoir 2 to filter particulates 10 from the sample or to filter blood cells from blood so that plasma can further travel through the device. preferred embodiment, the volume or capacity of the sample addition reservoir 2 is 1 to 5 times the volume of the reaction chamber 4. In general, one selects a volume or capacity of this reservoir 2 such that if the excess sample is used to wash the diagnostic element 6 then enough volume of sample is needed to thoroughly remove any unbound reagents from the diagnostic element 6 arising from the assay process. This reservoir 2 may also contain certain dried reagents which are used in the assay pro-For example, a surfactant can be dried in this cess. reservoir 2 which dissolves when sample is added. surfactant in the sample would aid in the movement of the sample and reaction mixture through the device by lowering the surface tension of the liquid. The sample addition reservoir 2 is generally in direct fluid contact with the sample-reaction barrier 3 (Fig. 1) or the diagnostic element 6 (Fig. 2).

#### Sample-Reaction Barrier

As depicted in Fig. 1, the sample-reaction barrier 3 30 separates the sample in the sample addition reservoir 2 from the reaction mixture in the reaction chamber 4. The sample-reaction barrier is desired because it provides the device with the capability of forming a precise reaction mixture volume. A precise volume of the reaction mixture 35 is generally necessary for assays in which semi-quantita-

20

25

ž.,

tive or quantitative results are desired. Thus, a precise pipetting step of the sample to the device is not required because the sample reaction barrier forms a reaction chamber of precise volume into which the sample is capable of flowing. The sample reaction barrier 3 is desired because the reactions which take place in the reaction chamber 4 should preferably be separated from the excess sample in The sample reaction the sample addition reservoir 2. barrier 3 comprises a narrow capillary, generally ranging from about 0.01 mm to 0.2 mm and the surfaces of the 10 capillary can be smooth or have a single groove or a series of grooves which are parallel or perpendicular to In a preferred embodiment of the the flow of sample. sample reaction barrier 3, now referring to Fig. grooves 12, parallel to the flow of sample, are incor-15 porated onto one surface of the device a capillary distance, for example, 0.02 mm to 0.1 mm, from the other The volume of sample which fills the samplereaction barrier 3 (Fig. 1a) should be kept to a minimum, from about 0.01% to 10% of the reaction chamber 4 volume 20 so that the reagents of the reaction chamber 4 do not significantly diffuse back into the sample in the sample That is, the diffusion of the addition reservoir 2. reaction mixture back into the excess sample should be kept to a minimum so that the chemical or biochemical reactions occurring in the reaction mixture are not substantially influenced by the excess sample in the sample addition reservoir 2. Groove depths can range from about 0.01 mm to 0.5 mm and preferably from about 0.05 mm to When more than one groove is used for this element, the number of grooves in this element is typically between 10 and 500 grooves per cm and preferably from about 20 to 200 grooves per cm. Sample from the sample addition reservoir 2 flows over the grooves 12 by capillary action and then into the reaction chamber 4. 35 further preferred embodiment, grooves, hereafter termed "fingers" 16, are situated in the wall of the reaction

chamber 4 in fluid contact with the grooves 12 or capillary space of the sample-reaction barrier 3. These fingers 16 are typically 0.5 mm to 2 mm wide, preferably 1 mm to 1.5 mm wide and typically 0.1 mm to 1.5 mm in depth, 5 preferably about 0.2 to 1 mm in depth. The fingers 16 in the wall of the reaction chamber 4 aid in the capillary flow of the sample into the reaction chamber 4. the fingers allow fluid to move from a capillary where the capillarity is relatively high to a capillary where the 10 capillarity is lower. Thus, the capillary at the samplereaction barrier is generally more narrow and has a greater capillarity than the capillary or space of the This difference in capillarity can reaction chamber. cause the flow of sample or fluid in the device to stop in the sample-reaction barrier capillary. Presumably, the fingers break the surface tension of the fluid at the interface of the two capillaries or spaces and thereby cause the fluid to move into a capillary or space of lower One can appreciate that the utility of capillarity. fingers can be extended to any part of the device where 20 fluid must flow from high capillarity to low capillarity. In practice, this is usually when the direction of fluid flow is from a narrow capillary (higher capillarity) to a The top surface of wider capillary (lower capillarity). the sample reaction barrier may also be used to immobilize 25 reagents used in the assay process such that the sample flows over the sample reaction barrier, dissolves the reagents and moves into the reaction chamber. The movement of the sample and reagents into the reaction chamber may act as a mixing means.

#### Reaction Chamber

Referring to Fig. 1, the sample moves into the reaction chamber 4 from the sample-reaction barrier 3. The reagents of the device 10 are preferably placed in the reaction chamber 4, for example, as dried or lyophilized powders, such that when the sample enters the reaction

chamber 4 the reagents quickly reconstitute. The volume of the reaction chamber 4 is the volume of sample which defines the reaction mixture. The reaction chamber may be sealed on 2 sides, for example, by ultrasonic welding of 5 the top and bottom members. Thus, delivery of the sample to the device 10 at the sample addition zone 1 does not require a precise pipetting step to define the volume of the reaction mixture. Mixing features which mix the reaction mixture can also be incorporated in conjunction with the reaction chamber element 4, such as those described in U.S. Pat. Appl. Ser. No. 711,621 filed June 5, 1991, hereby incorporated by reference. The sample fills the reaction chamber 4 because of capillary forces and also, potentially, because of the hydrostatic pressure exerted by the sample in the sample addition reservoir 2. 15 floor of the reaction chamber 4 may be smooth or comprised of a grooved surface to aid in the movement of the sample into the reaction chamber 4. The volume of the reaction chamber 4, and thereby the reaction mixture, may be any volume which accommodates the reagents and which provides 20 the desired sensitivity of the assay. The shape of the reaction chamber 4 should be such that the movement of the reaction mixture from the reaction chamber 4 is not turbulent and eddies are not formed as a result of the movement out of the reaction chamber 4. A preferred shape of the reaction chamber 4 is shown in Fig. 1. The depth of the reaction chamber 4 should be commensurate with the width of the chamber to accommodate the desired reaction mixture volume. The depth of the reaction chamber can range from and preferably from 0.1 mm to about 0.05 mm to 10 mm 30 To accommodate a particular volume of the reaction chamber, the length and width of the reaction chamber should be adjusted and the depth maintained as narrow as is practical. The reaction chamber 4 is in direct fluid contact with the sample-reaction barrier 3 and the diag-35 nostic element 6 or time gate 5. In addition, the reac-

15

tion chamber 4 may also be in direct fluid contact with an optional reagent reservoir 17 as shown in Figs. 1b and 1c.

A preferred embodiment of the reaction chamber utilizes a ramp which extends from the bottom of the reaction chamber to the surface of the diagnostic element. The ramp minimizes or prevents mixing and eddie formation of the reaction mixture with the sample at the interface of the reaction chamber and the diagnostic element as the fluid moves through the device. Thus, the ramp allows a smooth transition of the fluid out of the reaction chamber and onto the diagnostic element. The length of the ramp should be optimized for each depth of the reaction chamber, but generally, the ramp is at an angle of between 25 and 45 degrees relative to the floor of the reaction chamber.

#### Time Gate

Referring to Fig. 1a, the time gate 5 holds the reaction mixture in the reaction chamber 4 for a given period of time. The concept of the time gate is that a predominantly aqueous solution cannot pass through a sufficiently hydrophobic zone until the hydrophobic zone is made sufficiently hydrophilic. Furthermore, the hydrophobic zone is made hydrophilic through the binding of a component in the aqueous solution to the hydrophobic zone. The suffi-25 ciently hydrophobic zone is generally in a capillary The driving force for fluid movement over or through the time gate may be either the capillarity of the space or hydrostatic pressure exerted by the sample or a combination of both of these forces. The amount of time which is required to hold the reaction mixture in the 30 reaction chamber 4 is relative to the assay process such that the reactions which occur in the reaction chamber 4 as a result of the assay process will reflect the presence or amount of target ligand in the sample. Thus, the time gate 5 delays the flow of the reaction mixture onto the diagnostic element 6. The time gate 5 delays the flow of

the reaction mixture by the principle that a hydrophilic liquid, such as an aqueous solution or one which has a dielectric constant of at least 40, cannot move past a sufficiently hydrophobic barrier in a capillary channel. in designing and building a time gate, one can begin with a hydrophobic surface, such as are found on native plastics and elastomers (polyethylene, polypropylene, polystyrene, polyacrylates, silicon elastomers and the like) silicon chip surfaces or metal surfaces, either smooth, coved or textured and a capillary is formed by an oppos-10 surface which can be hydrophobic or hydrophilic in re and smooth, grooved or textured. The hydrophobic ce(s) in the capillary have a microscopic surface onto which can bind components which are generally e in a predominantly aqueous solution. 15 The hydrocharacter and the concentration of the component(s) reaction mixture and the overall surface area of ime gate affects the mechanics of the time gate. it of time for which the time gate 5 holds the reac-20 mixture is related to the rate of binding of a compo ant(s) from the reaction mixture to the hydrophobic The binding of the component(s) from the reacbarrier. tion mixture changes the hydrophobic barrier to a zone which is sufficiently hydrophilic over which or through which the reaction mixture can flow. Creating the suffi-25 ciently hydrophilic surface then allows the fluid to flow as if the time gate had not been in the device. fluid flow through the remainder of the device is not affected once the time gate has been made hydrophilic. Other devices described which incorporate fluid delay means, for example, in U.S Patent Nos. 4,426,451 and 4,963,498, hereby incorporated by reference only, require an external manipulation of the device to start fluid flow or utilize capillary constrictions to slow fluid flow. 35 this latter case, the capillary constriction used to delay fluid flow will affect the fluid flow through the remainder of the device.

30

In a preferred embodiment, for example, the time gate 5 can be composed of latex particles 15 (Fig. 1a, not drawn to scale), such as polystyrene latexes with diameters of between about 0.01  $\mu m$  and 10  $\mu m$  or hydrophobic polymers, such as polypropylene, polyethylene, polyesters and the like, which are introduced onto the device in the appropriate zone where the reaction mixture must travel. In another preferred embodiment, the time gate can be created by application of a hydrophobic chemical, such as an ink or a long chain fatty acid, or a hydrophobic decal to the desired zone. The hydrophobic chemical or decal is generally not soluble or is poorly soluble in the reaction In yet another preferred embodiment, the time gate can also be formed by changing a hydrophilic surface For example, hydrophobic surto a hydrophobic surface. faces made hydrophilic by plasma treatment can be converted back to a hydrophobic surface by the application of solvents, ultraviolet light or heat and the like. treatments can act to change the molecular structure of 20 the hydrophilic, plasma modified surface back to hydrophobic form.

The component(s) in the reaction mixture which bind to the hydrophobic zone may be various proteins, polypeptides, polymers or detergents. A preferred protein is 25 bovine serum albumin. The time delay provided by the time gate 5 depends on the concentration of the component(s) in the reaction mixture, for example, bovine serum albumin, which binds to the hydrophobic zone, for example, the surface area provided by the latex particles 15. preferred embodiment of the time gate 5 utilizes polyelectrolytes which are hydrophobic and which become hydrophilic by exposure to the buffering capacity of the reaction mixture. The time gate 5 would be comprised of, for example, polyacrylic acid, which in its protonated form it The reaction mixture, if buffered above is hydrophobic. 35 the  $pK_a$  of the polyacrylic acid, would deprotonate the acid groups and form the hydrophilic salt of the polymer.

this case, the time delay is related to the mass of polyelectrolyte and the pH and the buffering capacity of the reaction mixture.

The geometry or shape of the time gate can influence 5 the area of the time gate that the fluid will pass over or That is, the time gate can be designed to direct the flow of liquid through a specific area of the time By directing the fluid to flow through a defined area of the time gate the reproducibility of the time 10 delay is improved. Figure 6 shows representative geometries of time gates. For example, as shown in figure 6, time gates a-d, the time gates have V-shapes incorporated into their design, and more specifically, the length of the time gate (defined as the distance the fluid must 15 cross over or through in order to pass the time gate) is less at the tip of the V than in the body of the time Thus, in a preferred mode, the fluid will cross over or pass through the time gate where the length is shortest thereby directing fluid flow through the time gate in a consistent manner. In general, the directionality of fluid flow over or through the time gates is represented by opposing arrows in Figure 6. In a preferred embodiment, the orientation of the time gates b. c and d of figure 6 are such that the fluid touches the flat portion of the time gate first rather than the V shape. In other words, the preferred direction of flow for the time gates b, c and d of figure 6 is represented by the up In cases where the time gate is simply a line, for example as seen in figure 6, time gate e and f, the path 30 of fluid flow over or through the time gate can occur at any point on the time gate. Thus, the time gates which have geometries directing the fluid flow over or through a consistent area of the time gate are preferred. example, time gates with lengths ranging from about 1.3 mm to 0.13 mm achieve delay times of approximately 0.3 min to 5.5 min, respectively, when the distance between surfaces is about 0.018 mm. When the time gate is V-shaped, the

length of the time gate at the tip of the V has dimensions smaller than the length of the time gate at the remaining portion of the V; that is, the arms of the V should have a length roughly 2 to 5 times the length of the V tip, as for example, figure 7, time gate a, illustrates. Figure 7, time gate b, shows that only a small area of the time gate is crossed over or through at the tip of the V as compared with the remainder of the time gate. The time gate should span the width of the capillary or space so that the entire fluid front comes in contact with the time gate. If the time gate was not as wide as, for example, the diagnostic element, then the fluid front would go around the time gate. Thus, the time gate should "seal" the fluid in the space during the delay period.

Referring to Fig. 1, one skilled in the art can 15 recognize that each device 10 could incorporate one or more time gates to achieve the desired function of the Figure 8 shows some examples of the sequential placement of several time gates of figure 6. For example, as discussed in the next section, Optional Reagent Cham-20 bers, if a sequential addition immunoassay was to be performed by the device then 2 time gates would allow 2 sequential incubation steps to be performed by the device prior to the movement of the reaction mixture to the diag-In another example, if an incubation of nostic element. 25 the reaction mixture on the capture zone or zones of the diagnostic element(s) 6 was required then a time gate(s) would be placed immediately behind the capture zone or zones. This use of the time gate may arise in cases where 30 poor efficiency of binding of the component in the reaction mixture to the capture zone of the diagnostic element would prevail.

Another application of the time gate involves the placement of a time gate on a surface which is not part of a capillary space. For example, the time gate can be placed on a hydrophilic surface, which alone without a capillary space will allow liquids to move. This is

generally the case when a substantial volume of liquid is placed on a surface and it spreads because of surface tension and because of the hydrostatic pressure of the liquid pushing the meniscus outwardly. The time gate then would function to delay the advance of the fluid front because the hydrostatic nature of the surface of the time gate would stop the movement of liquid. As the meniscus of the advancing liquid touches the time gate, the component or components in the liquid binds to the time gate to create a sufficiently hydrophilic surface for a continued advance of the liquid on the surface.

Yet another embodiment of the time gate involves the positioning of a time gate prior to a membrane which is used to capture a conjugate or receptor. In yet another embodiment of the time gate, the time gate can be composed 15 of hydrophobic surfaces in a membrane. In those cases, the hydrophobic membrane is positioned prior to the portion of membrane which captures the conjugate or receptor and may be positioned after a reaction chamber or a por-20 tion of membrane where reagents of the assay are placed or embedded and where the reagents incubate for a defined period of time. The time gate in the membrane can be formed by application of raw latex particles in the membrane at an appropriate solids concentration ranging from 25 about 0.01% to 10%. The size of the latex particles should be slightly less than the pore size of the membrane so that the latex becomes imbedded within the membrane. The density of latex within the membrane at the time gate should be uniform so that the reaction mixture does not 30 circumvent the time gate. For example, the latex size used to create a time gate for a membrane with a pore size of 1  $\mu$ m can range between 0.05 and 0.2  $\mu$ m. Since the distribution of pore sizes in membranes varies widely, the actual size of latex used must be arrived at by experi-35 mentation. The hydrophobic nature of the membrane used for the time gate can also be formed by plasma treatment or by treatment of the membrane with hydrophobic chemicals

or polymers that adsorb to the membrane. One skilled in the art can appreciate that the teachings described herein of the inventive features of the time gate can be utilized to design time gates in a variety of diagnostic devices which utilize membranes. That is, devices described, for example, in U.S. Patents 4,435,504, 4,727,019, 4,857,453, 4,877,586 and 4,916,056, and..... hereby incorporated by reference, can incorporate a time gate, for example, prior to the membrane or in the membrane which captures the conjugate or receptor.

#### Optional Reagent Chambers

Referring to Figs. 1b and 1c, the optional reagent chamber 17 is useful for the introduction of reagents into the assay process. In general, the optional reagent cham-15 ber 17 may be in direct fluid contact with the sample addition reservoir 2 via a sample reaction barrier 3 or a port the reaction chamber 4 or the diagnostic element 6, via a sample reaction barrier 3 or a port. Fig. 1b shows the optional reagent chamber 17 in direct 20 fluid contact with the reaction chamber 4. The flow of the introduced reagent may be controlled by a time gate 5a and fingers 16 can aid in the movement of reagents into the reaction chamber 4. Referring now to Fig. 1c, for example, if a sequential addition immunoassay was to be performed by the device then 2 time gates 5 and 5a would 25 and fingers 16 can aid in the movement of reagents into the reaction chamber 4. Referring now to Fig. 1c, for example, if a sequential addition immunoassay was to be performed by the device then 2 time gates 5 and 5a would allow 2 sequential incubation steps to be performed in the 30 optional reagent chamber 17 and then in the reaction chamber 4 by the device prior to the movement of the reaction mixture onto the diagnostic element 6. That is, sample would be applied to the sample addition reservoir 2 through the sample addition zone 1 and the sample flows 35 over the sample reaction barrier 3 and into the optional

. 4.

...

reagent chamber 17 by the aid of fingers 16 where the first set of reactions would occur. The time gate 5a, after the appropriate amount of time, would allow the reagents to flow over the sample reaction barrier 3a and into the reaction chamber 4 by the aid of fingers 16a where the next set of reactions would take place. After the appropriate amount of time, the time gate 5 allows the flow of reaction mixture onto the diagnostic element 6.

#### Fluid Control Means

10 Referring to Fig. 1d, the optional fluid control means 18 is designed to control the flow of the reaction mixture in the device. More specifically, the optional fluid control means 18 causes the volume of the reaction mixture to flow over the capture zone of the diagnostic 15 element 6 at a rate which allows for an optimum capture of reagents onto the capture zone. After the volume of the reaction mixture flows over the capture zone the rate of flow of the excess reagents may be increased. The differential rate of flow of the reagents in the device is 20 achieved by designing a gap 18 between the surfaces of the capillary space 19 of the diagnostic element 6. The size of the gap 18 is larger than the capillary space 19 of the diagnostic element 6. The gap 18 generally follows the capture zone or the zone where the rate of flow is 25 required to be decreased. The gap 18 in the diagnostic element 6 thus has an associated volume. The volume of the gap 18 is filled with the reaction mixture by capillary action as it moves through the device. Since the gap 18 after the capture zone is greater than the capillary space 19 of the diagnostic element 6 a drop in capillary pressure at the beginning of the gap 18 results in a decrease in the rate of flow of the reaction mixture into the gap 18 and therefore a decrease in the rate of flow of the reaction mixture over the capture zone. Varying the 35 size of the gap 18 changes the capillarity in the gap and thus the flow of the reaction mixture over the capture

15

20

30

zone. In the case of immunoassays requiring a wash step to remove unbound reagents from the diagnostic element 6, it is generally desired that the rate of flow of the wash solution over the diagnostic element 6 is faster than the rate of flow of the reaction mixture over the diagnostic element 6 because this decreases the time of the assay. The shape of the gap can take many forms. As shown in Fig. 1d, the gap has square corners, however, the gap can be shaped as a trapezoid or triangle which would change the rate of flow of the reaction mixture while flowing into the gap. One skilled in the art can also appreciate that for certain immunoassays a wash step is not required.

The control of the rate of flow of the reagents in the device can also be used to allow chemical reactions to take place in one zone of the device before the reagents move to another area of the device where the extent of reaction of the reagents is monitored or where further reaction may take place. For example, several fluid control means could be incorporated into a device for use in immunoassays where a sequential addition and incubation of reagents is necessary. That is, the sample comes in contact with the first reagents and the time for the reaction of the sample and first reagents is controlled by a first When the first gap is filled with fluid, the reaction mixture continues to the second reagents at which time an additional chemical reaction can subsequently take The time required for completion of this second reaction can also be controlled by a second gap before further flow of the reaction mixture along the diagnostic element. Chemical and biochemical reactions also take place in the volume of the gap, for example, by immobilizing reagents in the gap.

#### Diagnostic Element

Referring to Figs. 1 and 2, the diagnostic element 6 35 is formed by opposing surfaces which are a capillary distance apart through which the reaction mixture flows and

on which are placed one or more capture zones. The capture zones are comprised of reagents, such as receptors, or devices, such as biosensors which bind or react with one or more components from the reaction mixture. binding of the reagents from the reaction mixture to the capture zones of the diagnostic element 6 is related to the presence or amount of target ligand in the sample. One or more receptors or biosensors can be placed on the diagnostic element 6 to measure the presence or amount of 10 one or more target ligands. The receptors or biosensors can be placed in discrete zones on the diagnostic element 6 or they can be distributed homogeneously or heterogeneously over the surface. Receptors or other chemical reagents, for example, a receptor against the signal generator can also be immobilized on the diagnostic element 6 15 to verify to the user that the reagents of the reaction mixture are viable and that the reaction mixture passed through the zones of the receptors or biosensors. gle receptor or biosensor can be placed over the majority of the diagnostic element 6 such that as the reaction mix-20 ture flows through the diagnostic element 6 the components from the reaction mixture bind to the surface of the diagnostic element 6 in a chromatographic fashion. Thus, the distance which the component of the reaction mixture binds 25 would be related to the concentration of the target ligand in the sample. The reagents, such as receptors, are immobilized on the surface of the diagnostic element 6 through covalent bonds or through adsorbtion. A preferred embodiment is to immobilize receptor coated latex particles, for example of diameters ranging from about 0.1  $\mu m$  to 5  $\mu m$ . In addition, particles termed "nanoparticles" can also be coated with receptor and the resulting nanoparticles can be immobilized to the diagnostic element through adsorbtion or covalent bonds. Nanoparticles are generally compos d of silica, zirconia, alumina, titania, ceria, 35 metal sols, and polystyrene and the like and the particle sizes range from about 1 nm to 100 nm. The benefit of

WO 93/24231 PCT/US93/04912

using nanoparticles is that the surface area of the protein coating the nanoparticle as a function of the solids content is dramatically enhanced relative to larger latex particles.

5

10

15

20

25

35

The surfaces of the diagnostic element 6 would allow the receptor coated nanoparticles or latex particles to bind to the diagnostic element 6. In a preferred embodiment, the receptors bind to the surface of the diagnostic element through electrostatic, hydrogen bonding and/or hydrophobic interactions. Electrostatic, hydrogen bonding and hydrophobic interactions are discussed, for example, in Biochemistry 20, 3096 (1981) and Biochemistry 29, 7133 For example, the diagnostic element 6 can be treated with a plasma to generate carboxylic acid groups on the surface. The receptor coated latex particles are preferably applied to the diagnostic element 6 in a low salt solution, for example, 1-20 mM, and at a pH which is below the isoelectric point of the receptor. Thus, the negative character of the carboxylic acid groups on the diagnostic element 6 and the positive charge character of the receptor latex will result in enhanced electrostatic stabilization of the latex on the diagnostic element 6. In another preferred embodiment, latex particles nanoparticles, which may be coated with receptor or may compose a time gate, are entrapped on a non-absorbent The microstructure of the non-absorbent surface is textured so that the particles are entrapped on the surface or in the layers of the microstructure, forming what is generally referred to as a "nanocomposite." Magnetic fields may also be used to immobilize particles which are attracted by the magnetic field. These types of surfaces, generally termed "nanostructured materials" are described, for example, in Chemical and Engineering News 70, 18-24 (1992), hereby incorporated by reference.

In an additional embodiment of the diagnostic element, now referring to Fig. 5, the diagnostic element 6 is a cylindrical surface which may be composed of grooves.

15

20

25

35

When the diagnostic element is composed of grooves, the grooves generally run perpendicular to the flow of the reaction mixture. A capillary space is formed around the diagnostic element by a round tube which is generally clear; thus, the surface of the diagnostic element and the opposing surface of the tube are a capillary distance apart. The capillary formed allows the flow of the reaction mixture over the round diagnostic element 6. Generally, the reaction mixture would travel up against gravity or down with gravity through the cylindrical capillary space. The capture zones of the round diagnostic element 6 can be placed in discrete zones or over the entire length of the diagnostic element 6. The capture zones may also circle the diameter of the diagnostic element 6 or may be applied to only a radius of the diagnostic element The reaction mixture may be delivered to the diagnostic element 6 through the tube 8. Furthermore, the cylindrical volume of the tube 8 may be used as a reaction chamber 4 and a disc shaped sample reaction barrier 3 with grooves on its perimeter may also be inserted to form the reaction chamber 4 and the sample addition reservoir 2. From this discussion, now referring to Fig. 1 and 2, one skilled in the art can also appreciate that the flat diagnostic element 6 may also be curved such that the curvature is a radius of a circle.

One skilled in the art can appreciate that various means can be used for the detection of signal at the capture zone of the diagnostic element. In the case of the use of biosensors, such as, for example, a piezo-electric crystal, the piezoelectric crystal onto which would be immobilized a receptor, would be the capture zone and the response generated by binding target ligand would be generally reflected by an electrical signal. Other types of detection means include, but are not limited to visual and instrumental means, such as spectrophotometric and reflectance methods. The inventive features of the diagnostic element described herein allows for improved

15

20

25

30

35

capture efficiencies on surfaces over which a reaction mixture flows and that various means for detection may be used by one skilled in the art.

The surfaces of the capillaries in the device are generally hydrophilic to allow flow of the sample and reaction mixture through the device. In a preferred embodiment the surface opposing the diagnostic element 6 is hydrophobic such that the reaction mixture repels this The repulsion of reaction mixture to the surface opposing the diagnostic element 6 forces the reaction mixture, and particularly the protein conjugates, to the surface where capture occurs, thus improving the capture efficiency of the components of the reaction mixture to The hydrophobic surfaces opposing the the capture zone. diagnostic element can have a tendency to become hydrophilic as the reaction mixture progresses through the diagnostic element because various components which may be present endogenously or exogenously in the sample or reaction mixture, such as, for example, proteins or polymers, bind to the hydrophobic surface. A preferred hydrophobic surface opposing the diagnostic element can be composed of teflon. It is well known to those skilled in the art that teflon surfaces bind proteins poorly. Thus, the teflon surface opposing the diagnostic element would not become as hydrophilic as would surfaces composed of, for example, polystyrene, polyacrylate, polycarbonate and the like, when the reaction mixture flows through the diagnostic element.

In another preferred embodiment, the diagnostic element 6 is hydrophilic but the areas adjacent to the diagnostic element 6 are hydrophobic, such that the reagents of the assay are directed through only the hydrophilic regions of the diagnostic element. One skilled in the art will recognize that various techniques may be used to define a hydrophilic diagnostic element or zone, such as plasma treatment of hydrophobic surfaces using masks which shield the surfaces, except for the diagnostic element,

15

20

25

35

from the treatment or by application of hydrophobic adhesives to hydrophilic surfaces to define a diagnostic element or by the use of viscous hydrophobic compounds, such as an oil or a grease. In another preferred embodiment, the capillary of the diagnostic element can be formed by ultrasonic welding. The boundaries of the diagnostic element are dictated by the energy directors which are used to form the sonicated weld.

The surfaces of the diagnostic element 6 or of the other components of the device may be smooth or grooved or grooved and smooth. Various textured surfaces may also be employed, alone or in combination with smooth or grooved For example, surfaces composed of posts, grooves, pyramids and the like, referred to as protrusions, or holes, slots, waffled patterns and the like, referred to as depressions may be utilized. The textured geometries may be ordered in rows, staggered or totally random and different geometries may be combined to yield the desired surface characteristics. The depressions or the protrusions of the textured geometries can range from about 1 nm to 0.5 mm and preferably from about 10 nm to 0.3 mm. The distance between the various depressions and protrusions can range from about 1 nm to 0.5 mm and preferably from about 2 nm to 0.3 mm.

In a preferred mode as shown in Figs. 1 and 2, one surface of the diagnostic element 6 is grooved and the grooves are perpendicular to the flow of the reaction mixture and the opposing surface is smooth. In another embodiment, one surface of the diagnostic element 6 is grooved at the capture zone and the areas adjacent to the capture zone are smooth. The opposing surface of the diagnostic element 6 may be smooth or may be grooved, for example, the grooves of each surface intermesh. The positioning of the grooves of the diagnostic element perpendicular to the flow of the reaction mixture is beneficial in that the flow of the reaction mixture through the diagnostic element 6 occurs in an organized manner with a distance of the surface intermedicular to the flow of the reaction mixture through the diagnostic element 6 occurs in an organized manner with a dis-

tinct, straight front dictated by the grooves in the capillary space. In addition, when one surface is in close proximity, for example 1  $\mu m$  to 100  $\mu m$ , to the peaks of the grooves then the capture efficiency of the compo-5 nents from the reaction mixture can be enhanced. enhancement of capture efficiency at the capture zones in grooved diagnostic elements as compared to smooth surface elements may be related to the movement of the reaction mixture in the capillary space; that is, in the case of 10 the grooved surface the reaction mixture is forced to move over the peak of the groove and into the trough of the next groove. Thus, a finer grooved surface, that is, more grooves per cm, would provide a better capture efficiency than a coarser grooved surface. The reaction mixture is thus driven closer to the surface of the grooved diagnos-15 tic element than it would be if both surfaces were smooth. Also, the close proximity of the surfaces decreases the volume of the bulk reaction mixture above the grooved surface of the diagnostic element and therefore decreases 20 the diffusion distance of the components which bind to the diagnostic element. The proximity of the surfaces of the diagnostic element should minimize the volume of reaction mixture in the diagnostic element at the capture zone without blocking the capillary flow through the element. The capture of, for example, the complex of target ligand: Ligand receptor conjugate at the capture zone can approach 100% efficiency if the proximity of the surfaces is opti-The capture of nearly all of the ligand receptor conjugate which is bound by target ligand is most desired because a greater sensitivity of the assay as a function 30 of sample volume can be achieved. Other advantages of improved capture efficiency are that less reagents are used because the sample volume is decreased, the assay device can be miniaturized because of the smaller sample volume and the reproducibility of the assay result will be 35 improved because changes in the rate of flow of the

reaction mixture through the capture zones will have less or no effect on the capture of the labelled conjugates.

The capillary space can be defined by a variety of ways, for example, machining the surfaces to the appropri-5 ate tolerances or using shims between the surfaces. preferred embodiment, ultrasonic welding of the surfaces defines the capillary. In this case, the capillary space is defined by the energy directors and the distance between the surfaces is a function of the size of the 10 energy director, the welding energy, the time of energy application and the pressure applied during welding. surfaces of the diagnostic element can be parallel or non-In the latter case, the flow rate of the reagents through the diagnostic element will not be uniform throughout the length. A preferred embodiment is to a maintain the surfaces of the diagnostic element approxi-The surfaces of the diagnostic element : mately parallel. can be made from materials, such as plastics which are capable of being milled or injection molded, for example, ... polystyrene, polycarbonate, polyacrylate and the like or :from surfaces of copper, silver and gold films upon which. are adsorbed various long chain alkanethiols as described in J.Am.Chem.Soc. 1992, 114, 1990-1995 and the references In this latter example, the thiol groups which are oriented outward can be used to covalently immobilize proteins, receptors or various molecules or biomolecules which have attached maleimide or alkyl halide groups and which are used to bind components from the reaction mixture for determining the presence or amount of the target ligand.

Referring to Figs. 3a and 3b, the zones of immobilization of one or more receptors or the placement of biosensors at the capture zone 17 on the diagnostic element 6 can take many forms. For example, if the target ligand is very low in concentration in the sample then one would desire that all of the reaction mixture pass ov r the zone of immobilized receptor or biosensor to obtain the best

20

25

30

20

signal from the given volume of reaction mixture. In this case, the placement of the reagents or biosensors on the diagnostic element 6 at the capture zones 17 could, for example, resemble that shown in Fig. 3a. If the target ligand in the sample is high in concentration and the sensitivity of the analytical method is not an issue then the placement of the receptors or biosensors at the capture zones 17 could, for example, resemble that in Fig. 3b. One skilled in the art can appreciate that the placement of receptors or biosensors on the diagnostic element is a function of the sensitivity requirements of the analytical method.

One or more diagnostic elements can comprise a device. The reaction mixture may be applied to a device with multiple diagnostic elements. In addition, the sample may be applied to the device and then separated into different reaction chambers, each with separate diagnostic elements. The capture zone can be various geometrical symbols or letters to denote a code when the sample is positive or negative for the target ligand. One skilled in the art will recognize the useful combinations of the elements of this invention.

The diagnostic element can also be configured to perform a semi-quantitative or quantitative assay, as for example, is described in Clinical Chemistry (1993) 39, 619-624, herein referred to by reference only. This format utilizes a competitive binding of antigen and antigen label along a solid phase membrane. The improvement is that the use of the diagnostic element described herein for the above cited method would require a smaller sample volume and improved binding efficiency to the solid phase surface.

#### Diagnostic Elements other than Capillaries

The inventive teachings described herein of the 35 adsorbtion of proteins, particularly receptors to plastic surfaces, can be utilized for adsorbtion of receptors to

many plastic surfaces which are not a part of a capillary. Nanoparticles and latex particles coated with receptors can also be applied to surfaces of many types of immunoassay devices, as for example, to "dipsticks." Dipsticks are generally used as a solid phase onto which are bound, as a result of the assay process, for example, the ligand receptor conjugate. Dipsticks generally incorporate membranes; however, a disadvantage in the use of membranes in dipsticks is the difficulty in washing the unbound ligand 10 receptor from the membrane. Thus, an improvement in the use of dipsticks is to immobilize receptor coated latex or nanoparticles directly onto a plastic surface of the dip-The removal of unbound ligand conjugate from the plastic surface is thus more efficient than removal from a membrane.

#### <u>Used Reagent Reservoir</u>

Referring to Figs. 1 and 2, the used reagent reservoir 7 receives the reaction mixture, other reagents and excess sample from the diagnostic element 6. of the used reagent reservoir 7 is at least the volume of. 20 the sample and extra reagents which are added to or are in The used reagent reservoir 7 can take many the device. forms using an absorbent, such as a bibulous material of nitrocellulose, porous polyethylene or polypropylene and the like or the used reagent reservoir can be comprised of 25 a series of capillary grooves. In the case of grooves in the used reagent reservoir 7, the capillary grooves can be designed to have different capillary pressures to pull the reagents through the device or to allow the reagents to be received without a capillary pull and prevent the reagents 30 from flowing backwards through the device. The size and quantity of the grooved capillaries determine the volume and capillarity of the used reagent reservoir 7. preferred embodiment, as shown in Fig. 4, the fingers 52 35 at the end of the diagnostic element 6 are in fluid contact with a capillary space 55 and the capillary space 55

is in fluid contact with a grooved or textured capillary space 56. The depth of the grooves or textured surface can be, for example, about 0.1 mm to 0.6 mm, preferably about 0.3 mm to 0.5 mm and the density can range from about 5 to 75 grooves per cm and preferably about 10 to 50 grooves per cm. Referring to Fig. 4, the reagents of the device move to the fingers 52 at the end of the diagnostic element 51 and into the capillary channel 55. reagents either partially or completely fill the capillary 10 space 55 and then come in contact with the grooved or textured surface 56. The width of the capillary space 55 is generally about 1 mm to 3 mm and the depth is generally about 0.1 mm to 2 mm. The length of the capillary space 55 should be sufficient to be in fluid contact with the grooved or textured surface 56. The grooved or textured 15 surface 56 partially or completely pulls the reagents from the capillary channel 55 depending on the rate of delivery of the reagents into the capillary space 55 from the diagnostic element 51. When the flow of reagents is complete 20 in the device, the grooved or textured surface 56 has greater capillarity than the capillary channel 55 and the reagents are removed from the capillary channel 55 by the grooved or textured surface 56. In addition, the reverse flow of the reagents from the grooved or textured surface is not preferred because the capillarity in the grooved or 25 textured surface 56 holds the reagents and prevents their backward flow. One skilled in the art can recognize from these inventive features that the arrangement of grooves or a used reagent reservoir within the device can be 30 adapted to a variety of desired objectives.

#### The Description of the One-Step Assay Device

The elements of the device which have been described individually can be assembled in various ways to achieve the desired function. The term "one-step" implies that one manual action is required to achieve the assay result, for example, adding sample to the device is one step. In

35

. . . . .

• .:

. . .

40.0

•

the case of the device performing a one-step assay which involves both a timed incubation of reagents and a wash step, the wash solution is excess sample and the assay device is built with the elements in fluid communication 5 using the sample addition reservoir, the sample-reaction barrier, the reaction chamber, the time gate, the diagnostic element and the used reagent reservoir as depicted in Fig. 1. The devices are generally about 3 cm to 10 cm in length, 1 cm to 4 cm in width and about 2 mm to 15 mm 10 thick. Typically, a top member with smooth surfaces is placed onto a bottom member which has a surface onto which are built the elements stated above. The relationship of the elements are as depicted in Fig. 1. The reagents required for performing the assay are immobilized or 15 placed in the respective elements. The surfaces are brought together, a capillary distance apart, and in doing so, the regions of the sample addition reservoir, the sample reaction barrier, the reaction chamber, the time gate, the diagnostic element, the gap and the used reagent 20 reservoir are all formed and are capable of functioning Also, the surfaces are brought together such that the opposing surfaces touch to form and seal the sample addition reservoir, the reaction chamber, and the used reagent reservoir.

on one or more target ligands, the signal producing reagents, which could include, for example, a receptor specific for the target ligand adsorbed to a colloidal metal, such as a gold or selenium sol, are placed on the sample reaction barrier or in the reaction chamber in dried or lyophilized form. Another receptor for each target ligand is immobilized onto the surface of the diagnostic element at the capture zone. The time gate is positioned generally on the diagnostic element between the reaction chamber and the capture zones by the placement of, for example, a surfactant-free polystyrene suspension onto the device in an amount which dictates the desired

The incubation time is usually the incubation time. amount of time for the reactions to come to substantial The assay is then performed by equilibrium binding. addition of sample to the sample addition reservoir of the The sample moves over the sample-reaction bardevice. rier, into the reaction chamber by the aid of the fingers and dissolves the reagents in the reaction chamber to form the reaction mixture. The reaction mixture incubates for the amount of time dictated by the time gate. 10 sample remaining in the sample addition reservoir and reaction mixture in the reaction chamber are in fluid communication but are not in substantial chemical communication because of the sample-reaction barrier. reaction chamber defines the volume of the reaction mix-The reaction mixture then moves past the time gate 15 and onto the diagnostic element and over the capture The complex of receptor conjugate and target ligand formed in the reaction mixture binds to the respective receptor at the capture zone as the reaction mixture flows over the capture zones. The reaction mix-20 ture may also flow over a positive control zone, which can be for example, an immobilized receptor to the signal As the reaction mixture flows development element. through the diagnostic element and into the used reagent reservoir by the aid of the fingers, the excess sample 25 flows behind the reaction mixture and generally does not substantially mix with the reaction mixture. sample moves onto the diagnostic element and removes the receptor conjugate which did not bind to the capture zone. When sufficient excess sample washes the diagnostic ele-30 ment, the signal at the capture zones can be interpreted visually or instrumentally. Referring to Fig. 1d, in a preferred mode of the above description, the reaction mixture moves onto the diagnostic element 6, over the capture zone or zones and then the reaction mixture proceeds into 35 a capillary gap 18. The capillary gap 18 generally has less capillarity than that of the diagnostic lement 6.

15

20

25

35

The capillary space 19 of the diagnostic element 6 is generally smaller than the capillary space of the gap 18. The volume of the capillary gap 18 generally approximates the volume of the reaction mixture such that the capillary gap 18 fills slowly with the reaction mixture and once filled, the capillarity of the remaining portion of the diagnostic element 6 or used reagent reservoir is greater than the capillarity of the gap 18 resulting in an increased rate of flow to wash the diagnostic element 6. As one skilled in the art can appreciate, the gap 18 can be formed in the top member 8 or in the bottom member 9 or a combination of both members 8 and 9.

In the case of the device performing a one-step assay which does not involve a timed incubation step but does involve a wash step in which the wash solution is excess sample, the assay device is built with the elements in fluid communication using the sample addition reservoir, the sample-reaction barrier, the reaction chamber, the diagnostic element and the used reagent reservoir. Then assay reagents are used as described above for the non-competitive qualitative assay. The assay device without the time gate allows the reaction mixture to flow onto the diagnostic element without an extended incubation time. The capillary flow of the reaction mixture and the excess sample are as described above.

The optional reagent chamber is incorporated into the device in the case of the device performing a one-step assay with the introduction of an additional assay reagent into or after the reaction mixture or the introduction of a wash solution which flows behind the reaction mixture through the device. The optional reagent chamber may be in fluid contact with any element of the device and is generally in fluid contact with the reaction chamber. When in fluid contact with, for example, the reaction chamber, the optional reagent chamber and the r action chamber may be separated by a time gate. Various reagents may be dried or lyophilized in the optional reagent cham-

ber, such as detergents for a washing step or reagents which are sequentially provided to the diagnostic element after the reaction mixture.

In the case of performing one-step, non-competitive, 5 quantitative assays the reagents as described above for the non-competitive, qualitative assay may apply. device is comprised of the elements, sample addition reservoir, sample-addition barrier, reaction chamber, time gate, diagnostic element and used reagent reservoir. this case, the capture zone of the diagnostic element is generally the entire diagnostic element. That is, the capture zone is a length of the diagnostic element onto which the receptor conjugate binds. The receptor conjugate binds along the length of the capture zone in proportion to the amount of target ligand in the sample. device of the present invention is preferred for this quantitative assay because of the high efficiency of capture of the reagents, for example, the binding of a complex of target ligand and receptor conjugate to an immobilized receptor to the target ligand on the capture zone, and because the movement of the reaction mixture over the diagnostic element proceeds with a sharp front. receptors on the capture zone sequentially become saturated with the complex of target ligand and receptor conjugate as the reaction mixture moves over the length of The length of the diagnostic element the capture zone. containing bound conjugate then determines the concentration of the target ligand. Those skilled in the art will recognize the format of this type of immunoassay as a quantitative immunochromatographic assay as discussed in U.S. Pat. Nos 4,883,688 and 4,945,205, hereby incorporated by reference.

In the case of the device performing a one-step, qualitative, competitive assay which involves both a timed incubation of reagents and a wash step and the wash solution is excess sample, the assay device is built with the elements in fluid communication using the sample addition

10

20

25

30

pu.

.. 71.

. . .

10.04

17.3

.....

1.1

P +04

7.

reservoir, the sample-reaction barrier, the reaction chamber, the time gate, the diagnostic element and the used reagent reservoir. When performing a qualitative competitive assay on one or more target ligands, the conjugate is 5 composed of, for example, a ligand analogue coupled to signal development element, such as a gold or selenium The conjugate and receptor for each target ligand are placed in the reaction chamber in dried or lyophilized form, for example, in amounts which are taught by U.S. 10 Pat. Nos. 5,028,535 and 5,089,391, hereby incorporated by reference. Another receptor for each target ligand is immobilized onto the surface of the diagnostic element at The time gate is positioned generally the capture zone. on the diagnostic element between the reaction chamber and 15 the capture zones as described previously. The incubation time is usually the amount of time for the reactions ato come to substantial equilibrium binding. The assay ris then performed by addition of sample to the device. The sample moves over the sample-reaction barrier and into the 20 reaction chamber, dissolves the reagents to form the reaction mixture and incubates for the time dictated by the time gate. The excess sample and reaction mixture are in fluid communication but not in substantial chemical communication because of the sample-reaction barrier. 25 reaction mixture then moves onto the diagnostic element and over the capture zones. The ligand analogue conjugate binds to the respective receptor or receptors at the capture zone or zones. As the reaction mixture flows over the diagnostic element and into the used reagent reser-30 voir, the excess sample flows behind the reaction mixture and generally does not substantially mix with the reaction mixture. The excess sample moves onto the diagnostic element and removes conjugates which do not bind to the capture zone or zones. When sufficient excess sample washes 35 the diagnostic element the results at the capture zones can be interpreted visually or instrumentally. ferred mode of the above invention, the reaction mixture

moves onto the diagnostic element, over the capture zone or zones and then the reaction mixture proceeds into a capillary gap. The capillary gap has less capillarity than that of the diagnostic element. The volume of the capillary gap generally approximates the volume of the reaction mixture such that the capillary gap fills slowly with the reaction mixture and once filled, the capillarity of the remaining portion of the diagnostic element or used reagent reservoir is greater resulting in an increased rate of flow of excess sample to wash the diagnostic element.

In another aspect of the one-step, competitive assay, the reaction mixture is composed of ligand analogue-ligand complement conjugate to each target ligand and receptors adsorbed to latex particles with diameters of, for exam-15 ple, 0.1  $\mu$ m to 5  $\mu$ m to each target ligand, in appropriate amounts, for example, as taught by U.S. 5,028,535 and 5,089,391. The ligand complement on the conjugate can be any chemical or biochemical which does 20 not bind to the receptors for the target ligands. assay is begun by addition of sample to the device. Sample fills the reaction chamber and is incubated for a time which allows the reagents to come to substantial equilibrium binding. The reaction mixture flows over the time gate and onto or into a filter element to prevent 25 ligand analogue-ligand complement conjugates which have bound to their respective receptor latexes from passing onto the diagnostic element. Typical filter elements can be composed of nitrocellulose, cellulose, nylon, porous polypropylene and polyethylene and the like. Thus, 30 only the ligand analogue-ligand complements conjugate which were not bound by the receptor latex will pass onto The receptor to the ligand comthe diagnostic element. plement of the conjugate is immobilized on the diagnostic element at the capture zone and binds the conjugate. 35 wash step may not be required because the filter removes the conjugate bound to latex; how ver, the excess sample

or a wash solution from the optional reagent chamber may be used to wash the diagnostic element.

In the case of a one-step quantitative, competitive assay, the receptor to the ligand analogue conjugate or 5 the ligand complement of the conjugate is immobilized onto the diagnostic element as described previously for the one-step quantitative, non-competitive assay. concentration of the target ligand in the sample is visualized by the distance of migration on the diagnostic ele-10 ment of the conjugate. In another mode, a quantitative assay could be performed by the binding of the labelled conjugate, for example, the ligand analogue-ligand complement conjugate, to sequential, discrete capture zones of receptor on the diagnostic element. The quantitative. result is achieved by the depletion of the conjugate as: the reaction mixture flows through the capture zones of: the diagnostic element.

## The Device as a Diagnostic Element

The diagnostic element of the device can be utilized: 20 with a sample addition means to perform a separation step. of bound and unbound conjugates. An example of this type of device which has a sample addition means, a diagnostic element and a used reagent reservoir is depicted in Fig 2. For example, in the case of a non-competitive assay, at least one receptor conjugate is incubated with sample 25 which is suspected of containing at least one target ligand in a suitable vessel and this reaction mixture is applied to the sample addition zone of the device. reaction mixture then flows onto the diagnostic element and over the capture zone of, for example, immobilized 30 receptor to the target ligand. When target ligand is present in the sample, the target ligand-receptor conjugate complex binds to the receptor on the capture zone. If the signal development element is an enzyme, then either a substrate for the enzyme which produces a visual 35 color or a wash solution followed by a substrate is next

Excess reagents flow to the used added to the device. reagent reservoir. The presence or amount of each target ligand in the sample is then determined either visually or instrumentally.

In the case of a competitive immunoassay, for example as taught by U.S. Pat. Nos. 5,028,535 and 5,089,391, herein incorporated by reference, the diagnostic element may be used to separate bound and unbound ligand analogue conjugates such that the unbound ligand analogue conju-10 gates bind to the receptors of the diagnostic element in proportion to the presence or amount of target ligand in the sample.

One skilled in the art can appreciate that all formats of immunoassays or gene probe assays which require a separation step of free and bound conjugates or the separation of free of bound reagents which subsequently leads to the ability to detect a signal can utilize the inventive features of the diagnostic element. One skilled in the art can also recognize that the inventive elements of this invention, namely, the fingers, the sample reaction barrier, the reaction chamber, the time gate, the diagnostic element, the fluid control means and the used reagent reservoir can be used separately or in various combinations and in conjunction with other devices not described here. For example, the sample reaction barrier 25 with fingers and the reaction chamber can be used in conjunction with devices incorporating porous members, such as membranes to deliver precise volumes of reagents to the The time gate can also be incorporated porous member. into the aforementioned devices or the time gate may be used alone in conjunction with devices incorporating porous members. The fluid control means can also be used in devices incorporating porous members to control the rate of flow of reagents through the porous member.

15

30

#### Experimental Procedures

#### Example 1

Preparation of anti-BhCG Antibody-Colloidal Gold Conjugate Colloidal gold with an average diameter of 45 nm was prepared according to the method of Frens, Nature, Physical Sciences, 241, 20 (1973). The colloidal gold conjugate was prepared by first adding 5.6 ml of 0.1 M potassium phosphate, pH 7.58, dropwise with rapid stirring to 50 ml of colloidal gold. Anti B-subunit monoclonal anti-10 body to hCG (Applied Biotech, San Diego, CA; 1 ml of 4.79 mg/ml in phosphate buffered saline, 0.02% sodium azide, pH 7) was added in a bolus to the colloidal gold with rapid stirring. After complete mixing the stirring was stopped and the solution was incubated at room temperature for 15 Polyethylene glycol (average molecular weight= 20,000) was added (0.58 ml) as a 1% solution to the colloidal gold solution and the solution was mixed. The colloidal gold solution was subjected to centrifugation at 27,000 g and 5°C for 20 min. The supernatant was removed 20 and each pellet was washed twice by resuspension and centrifugation with 35 ml of 10 mM potassium phosphate, 2 mM potassium borate, 0.01% polyethylene glycol (average molecular weight=20,000), pH 7. After the final centrifugation, the pellet was resuspended in 0.5 ml of the wash 25 The gold conjugate was diluted for the assay of hCG into a buffered solution containing 10 mg/ml bovine serum albumin at pH 8.

## Example 2

#### Preparation of anti-ahCG Antibody Latex

Surfactant-free polystyrene particles (Interfacial Dynamics Corp., Portland, OR; 0.106 ml of 9.4% solids, 0.4 μm) was added while vortexing to anti α-subunit hCG monoclonal antibody (Applied Biotech, San Diego, CA; 0.89 ml of 6.3 mg/ml in 0.1 M 2-(N-morpholino) ethane sulfonic acid, (MES), pH 5.5) and the suspension was incubated at room temperature for 15 min. The suspension was subjected

to centrifugation to pellet the latex particles. The pellet was washed three times by centrifugation and resuspension of the pellet with 10 mM MES, 0.1 mg/ml trehalose, pH 5.5. The final pellet was resuspended in the wash buffer at a solids concentration of 1%.

## Example 3

## Preparation of Goat Anti-Mouse Latex

Surfactant-free polystyrene particles (Interfacial Dynamics Corp., Portland, OR; 0.11 ml of 9.4% solids, 0.6 10 µm) were added while vortexing to goat IgG antibody against mouse IgG (Jackson ImmunoResearch Laboratories, Inc.; 0.89 ml of 0.34 mg/ml in 0.1 M MES, pH 5) and the suspension was incubated at 45°C for 2 h. The suspension was subjected to centrifugation to pellet the latex particles. The pellet was washed three times by centrifugation and resuspension of the pellet with 10 mM MES, 0.2 mg/ml trehalose, pH 5.5. The final pellet was resuspended in the wash buffer at a solids concentration of 1%.

#### Example 4

# 20 <u>Preparation of the One-Step Device for a Qualitative hCG</u> <u>Assay</u>

A one-step device made of plastic was built having an 80 to 100 \$\mu\$l sample addition reservoir, a 20 \$\mu\$l reaction chamber and a 40 \$\mu\$l used reagent reservoir. This device 25 is designed for applying samples of about 20 \$\mu\$l to 100 \$\mu\$l, but the reaction chamber is fixed at 20 \$\mu\$l. In cases where a larger reaction mixture volume is required for the desired assay, then the reaction chamber would be increased to that volume and the sample addition reservoir would be about 2 to 4 times the volume of the reaction chamber volume. The devices were plasma treated to graft functional groups which create a hydrophilic surface. Those skilled in the art will recognize that the plasma treatment of plastic is performed in a controlled atmosphere of a specific gas in a high frequency field. The

gas ionizes, generating free radicals which react with the The sample addition reservoir was shaped as a trapezoid with dimensions of 14 mm and 7 mm for the parallel sides and 7 mm for the other sides with a depth of 0.49 mm. The sample addition reservoir was adjacent to the sample reaction barrier. The sample-reaction barrier was 1.5 mm long and 7 mm wide including grooves running parallel to the flow of the sample at a density of 50 grooves per cm and a depth of 0.1 mm. In the case of 10 sample volumes larger than 20 to 80  $\mu$ l, the width of the reaction barrier and thereby the reaction chamber could be increased to accommodate the desired flow rate but the groove size or density could remain as indicated. fingers in the walls of the reaction chamber and the used. 15 reagent reservoir were 1 mm wide and 0.4 mm deep with 7 fingers in each wall of the reaction chamber and the used reagent reservoir. The reaction chamber volume was 20  $\mu$ 1. The reaction chamber was shaped as a trapezoid with dimensions of 7 mm and 3.5 mm for the parallel sides and 7.1 mm for the other sides with depths of 0.56 mm for 20  $\mu$ l reac-20 tion chambers. The diagnostic element was about 2.5 cm long, 2 mm wide and 1 mm from the base of the device including grooves running perpendicular to the flow of reaction mixture at a density of 100 grooves per cm and a 25 depth of 0.05 mm. In the case of a time gate on the diagnostic element, the time gate was positioned on the diagnostic element immediately adjacent to the reaction chamber. The width of the diagnostic element could be increased to increase the flow of the reaction mixture to 30 the desired rate past the capture zones. The anti-αhCG antibody latex (1  $\mu$ 1) and the goat anti-mouse latex (1  $\mu$ 1) were applied to the diagnostic element of the devices approximately 1.5 cm apart. The anti-BhCG antibody colloidal gold conjugate (10  $\mu$ l) was pipetted into the trough 35 of the reaction chamber. The devices were placed under vacuum for about 15 min. to dry the reagents. reagent reservoir had the shape of a trapezoid with dimen-

sions of 7 mm and 15 mm for the parallel sides and 8 mm for the other sides with a depth of 0.5 mm. Referring to Fig. 4, in a preferred (best mode) embodiment of the used reagent reservoir, the reaction mixture moved to a capillary space 55 (1.25 mm long, 27.5 mm wide and 0.48 mm deep) from the diagnostic element 6, aided by fingers 52 (1 mm wide and 0.4 mm deep with 7 fingers), and then into a grooved capillary structure (13.6 mm long, 25.4 mm wide, 0.61 mm deep with a density of 16 grooves per cm). 10 outer walls and the top surface of the walls of the sample addition reservoir and the reaction chamber had applied a thin coating of silicon grease to prevent the leakage of the reagents from the reservoir and chamber of the assembled device. The capillary spaces in the devices were then formed by placing a clear plastic polycarbonate sheet 15 on top of the device. The plastic sheet was held to the opposing surface with binder clips. The clear plastic sheet had a sample port above the sample addition reservoir for the introduction of sample.

## 20 Example 5

## Qualitative One-Step Assay for hCG

The devices described in Example 4 were used for the qualitative one-step assay for hCG. The assay times for the devices without the time gates were about 5 to 10 min. 25 A urine solution (60  $\mu$ l) containing 0, 50, 200 and 500 mIU hCG/ml was added to the sample reservoir of the devices. The sample moved into the reaction chamber, dissolved the colloidal gold conjugate and the reaction mixture moved onto the diagnostic element over the anti-hCG latex and 30 goat anti-mouse IgG latex capture zones. The reaction mixture moved into the used reagent reservoir and the excess sample washed the diagnostic element. density of the capture zones for hCG was measured instrumentally using a Minolta Chroma Meter CR 241 at 540 nm. A red color was visible for samples containing hCG and not 35 visible for the sample without hCG at the capture zones for hCG. The  $\Delta E^*$  values for the 0, 50, 200 and 500 mIU/ml were 0, 7.78, 12.95 and 20.96, respectively, and for the positive control (goat anti-mouse IgG) zones a distinctive red bar was observed with a  $\Delta E^*$  of about 35.

## 5 Example 6

## Qualitative One-Step Assay for hCG Using a Time Gate

Devices as described in Example 4 were prepared with the addition of the time gate. The time gate was formed on the diagnostic element which is in contact with the 10 reaction mixture in the reaction chamber. The time gate was prepared by adding 1  $\mu$ l of 2% solids of surfactantfree, sulfated latex, 1.0 \(\mu\mathbf{m}\), (Interfacial Dynamics Corp., The other reagent latexes and gold conju-Portland, OR). gate were also added to the devices and dried as described 15 in Example 5. Clear plastic sheets were placed on the devices and sample (about 60  $\mu$ l) containing 0, 50, 200 and 500 mIU hCG/ml was added to the devices. The sample moved into the reaction chamber, dissolved the colloidal gold conjugate and the reaction mixture remained in the reac-20 tion chamber for about 8 to 10 min, whereas in devices without time gates the reaction mixture remained in the reaction chamber for 5 sec to 15 sec. The proteinaceous components of the reaction mixture, which may be present in the sample and which was added as a component of the 25 reaction mixture, namely, bovine serum albumin, bound to the latex particles of the time gate and changed the hydrophobic surface of the time gate into a hydrophilic surface. Other proteins, such as gelatin, serum albumins, immunoglobulins, enzymes and the like and polypeptides and 30 hydrophilic polymers will also function to bind to the hydrophobic zone. The gradual transformation of the hydrophobic surface to a hydrophilic surface, which resulted through binding of the proteinaceous components of the reaction mixture to the latex particles allowed the reac-35 tion mixture to flow over the area of the time gate. control experiments in which protein, namely bovine serum

20

25

30

Means

albumin, was not added to the reaction mixture, flow of the reaction mixture over the time gate and onto the diagnostic element did not occur during the time (5 h) of the experiment. This control experiment showed that the urine sample alone did not contain sufficient protein or components which bind to the applied latex of the time gate to allow a change in the hydrophobic character of the time In the event that the components in the sample should only be used to cause the transformation of the 10 hydrophobic time gate to a hydrophilic one for the reaction mixture to flow, then one would be required to lower the mass and total surface area of the latex applied to the time gate to an extent which would allow flow of the reaction mixture over the time gate in an appropriate amount of time. The reaction mixture then moved onto the diagnostic element over the anti-hCG latex and goat antimouse IgG latex capture zones. The reaction mixture moved into the used reagent reservoir and the excess sample washed the diagnostic element. The color density of the capture zones for hCG was measured instrumentally using a Minolta Chroma Meter CR 241. A red color was visible for samples containing hCG and not visible for the sample without hCG at the capture zones for hCG. The AE\* values for the 0, 50, 200 and 500 mIU/ml were 0, 6.51, 13.14 and 18.19, respectively. A red color bar was visible at the goat anti-mouse IgG capture zones of each device.

## Example 7 Qualitative One-Step Assay for hCG Using a Flow Control

Devices as described in Example 4 were prepared with the addition of the optional flow control means. optional flow control means or "gap" was placed behind the capture zone for hCG gold conjugate on the diagnostic ele-The gap between the two surfaces was 0.38 mm, the length of the gap was 13.2 mm and the width of the gap on the top member was 9 mm; however, the effective width of

the gap was the width of the diagnostic element (2 mm). This gap volume above the diagnostic element was about 10  $\mu$ l which was, in this case, half the volume of the reaction chamber. The anti-hCG and the goat anti-mouse latexes and gold conjugate were added to the device and dried as described in Example 5. Clear plastic sheets of polycarbonate having a gap in one surface were placed on the devices with the gap facing the diagnostic element. Sample (about 60  $\mu$ l) containing 0 and 200 mIU hCG/ml was 10 added to the devices. The sample moved into the reaction chamber, dissolved the colloidal gold conjugate and the reaction mixture then moved onto the diagnostic element over the anti-hCG latex. The reaction mixture then entered the gap which was immediately behind the capture 15 zone of anti-hCG latex. The flow rate over the capture zone slowed while the reaction mixture moved over the capture zone and filled the gap. The time for the 10  $\mu$ l: reaction mixture to fill the gap was about 12 min to 16 min, whereas with devices without the optional flow con-20 trol means, the times were about 1 min to 3 min. for the reaction mixture to pass over the capture zone. When the reaction mixture filled the gap, the reaction mixture then moved into the narrow capillary of the diagnostic element and over the goat anti-mouse capture zone. The reaction mixture moved into the used reagent reservoir and the excess sample washed the diagnostic element. density of the capture zones for hCG was measured instrumentally using a Minolta Chroma Meter CR 241. A red color was visible for samples containing hCG and not visible for the sample without hCG at the capture zones for hCG. ΔE\* values for the 0 and 200 mIU/ml were 0 and 16.12. δE\* value of the hCG capture zone for the device without the flow control means for the 200 mIU/ml sample was 16.32. A red color bar was visible at the goat anti-mouse IgG capture zones of each device.

## Example 8

# Preparation of the Diagnostic Element for Multi-step Assays

A device was built comprising a sample addition 5 reservoir and a diagnostic element. The devices were plasma treated to graft functional groups which create a The sample addition reservoir had hydrophilic surface. dimensions of 12 mm long, 6 mm wide and 0.05 mm deep. diagnostic element was about 5.5 cm long, 1.3 mm wide and 1 mm from the base of the device and included grooves 10 running perpendicular to the flow of reaction mixture at a density of 100 grooves per cm and a depth of 0.05 mm. In the case of qualitative assays, the antibody latex (1  $\mu$ 1) was applied to the diagnostic element, covering the entire width and 1 cm length of the diagnostic element. 15 In the case of an immunochromatographic assay, the antibody latex (6  $\mu$ l) was applied to the entire width and length of the diagnostic element. The devices were placed under vacuum for about 1 h to dry the reagents. The capillary spaces in the device were then formed by placing a 20 clear plastic polystyrene sheet on top of the device. plastic sheet was held to the opposing surface with binder clips.

## Example 9

## 25 Assay for hCG Using the Diagnostic Element

The diagnostic element described in Example 8 was used for the assay of hCG. Urine samples (20  $\mu$ 1) containing 0, 50, 200 and 500 mIU/ml hCG were added to tubes containing anti-BhCG antibody colloidal gold conjugate (2  $\mu$ 1). The tubes were vortexed and the reaction mixtures were incubated for 5 min at room temperature. The reaction mixtures (20  $\mu$ 1) were applied in 10  $\mu$ 1 aliquots to the sample addition reservoir of the device. The reaction mixture flowed onto the diagnostic element from the sample reservoir and over the capture zone. An absorbent at the end of the capture zone removed the used reagent from the

diagnostic element. The color density of the capture zones for hCG was measured instrumentally using a Minolta Chroma Meter CR 241. A red color was visible for samples containing hCG and not visible for the sample without hCG at the capture zones for hCG. The AE\* values for the 0, 50, 250 and 500 mIU/ml were 0.00, 1.24, 3.16 and 5.56, respectively.

## Example 10

## Synthesis of meta-Nitrophencyclidine

To an ice cooled solution of phencyclidine hydro-10 chloride (5g, 1.8  $\times$  10<sup>-2</sup>mol) in concentrated sulfuric acid (9ml) was added dropwise, and with stirring, fuming nitric acid (2ml). The reaction mixture was stirred in an icewater bath for 1 hour and then poured onto crushed ice/? 15 water. The mixture was made basic with 10N sodium hydroxide (50ml) to pH12 and extracted with diethyl ether (2 X The combined organic layers were washed with water (2 X 100ml), dried over anhydrous magnesium sulfate, filtered and evaporated under vacuum. The residue was: 20 treated with methyl alcohol (20ml) and heated on a hotwater bath (80°C) until solute dissolved. The flask was covered with aluminum foil (product is light sensitive) and the solution was allowed to stir at room temperature overnight when a yellow solid precipitated. The solid was 25 collected by filtration and dried under vacuum to afford 3.0g (58%) of m-nitrophencyclidine as fine yellow crystals which were protected from light: mp 81-82°C.

#### Example 11

## Synthesis of meta-Aminophencyclidine

To a stirring solution of m-nitrophencyclidine (3.0g, 10.4 X 10<sup>-3</sup>mol) in methyl alcohol (150ml) was added, under a flow of argon, 10% palladium-carbon (0.5g) followed by ammonium formate (4.0g, 6.3 X 10<sup>-2</sup>mol). The reaction mixture was stirred at room temperature for 2 hours after which time the catalyst was removed by filtration and the

The residue was solvent was evaporated under vacuum. treated with 1N potassium hydroxide solution (30ml) and extracted with diethyl ether (2 X 50ml). The combined organic extracts were washed with water (50ml), dried over 5 anhydrous magnesium sulfate, filtered and evaporated under The residue was dissolved in hexane (20ml) and the solution was stirred at room temperature overnight when a white solid precipitated. The solid was collected by filtration and dried under vacuum to afford 1.4g (52%) 10 of m-aminophencyclidine: mp 121-122°C.

## Example 12

## Synthesis of Acetylthiopropionic Acid

To a stirred solution of 3-mercaptoproprionic acid (7 ml, 0.08 moles) and imidazole (5.4 g, 0.08 moles) in tetrahydrofuran (THF, 700 ml) was added dropwise over 15 minutes, under argon, a solution of 1-acetyl imidazole (9.6 g, 0.087 moles) in THF (100 ml). The solution was allowed to stir a further 3 hours at room temperature after which time the THF was removed in vacuo. due was treated with ice-cold water (18 ml) and the resulting solution acidified with ice-cold concentrated HCl (14.5 ml) to pH 1.5-2. The mixture was extracted with water (2X50 ml), dried over magnesium sulfate and evapor-The residual crude yellow oily solid product (10.5 q) was recrystallized from chloroform-hexane to afford 4.8 g (41% yield) acetylthiopropionic acid as a white solid with a melting point of 44-45°C.

## Example 13

30

## Synthesis of meta-Acetylthiopropionamide Phencyclidine

To a stirring solution of m-aminophencyclidine (1.4g, 5.4  $\times$  10<sup>-3</sup>mol) and acetylthiopropionic acid (0.87g, 5.8  $\times$ 10<sup>-3</sup>mol) in anhydrous tetrahydrofuran (7ml) was added dicyclohexylcarbodiimide (1.19g,  $5.8 \times 10^{-3} \text{mol}$ ). The flask was purged with argon and the solution stirred at room 35 temperature for 2 hours. The mixture was filtered from

insoluble dicyclohexylurea and evaporated under vacuum. The residual solid was recrystallized from chloroform/hexane to afford 1.5g (71%) of m-acetylthiopropionamide phencyclidine as a white crystalline solid: mp152-4°C.

#### 5 Example 14

## Synthesis of meta-3-Mercaptoproprionamide Phencyclidine

meta-Acetylthiopropionamide phencyclidine (0.01g, 2.57x10<sup>-5</sup> mol) was dissolved in 1.29 ml 0.12M potassium carbonate in 80% methanol/20% water (v/v). The solution sat at room temperature for 5 min and then 0.2 ml 0.5 M potassium phosphate, pH 7, was immediately added and the solution was adjusted to pH 7-7.5 with hydrochloric acid (1 N). The title compound in solution was used as is to react with BSA-SMCC.

## 15 Example 15

<u>Preparation of Phencyclidine Analogue Attached to Bovine Serum Albumin (BSA-PCP)</u>

Bovine serum albumin (BSA, 3.5 ml of 20 mg/ml) was reacted with succinimidyl 4-(N-maleimidomethyl)-cyclo-20 hexane-1-carboxylate (SMCC, Pierce Chemical Co.) by adding a solution of 6.7 mg SMCC in 0.3 ml acetonitrile and stirring the solution at room temperature for 1 h while maintaining the pH between 7 and 7.5 with 1N potassium hydrox-The protein was separated from unreacted compounds by gel filtration chromatography in 0.1 M potassium phos-25 phate, 0.02 M potassium borate, 0.15 M sodium chloride, pH 7.0. The meta-3-mercaptoproprionamide phencyclidine (0.2 ml of 13 mM) was added to the BSA-maleimide (2 ml at 8.2 mg/ml) and the solution was stirred at room temperature 30 for 4 h. The solution was then dialyzed 3 times against 1000 ml of 10 mM MES, pH 5.5. Recover 1.8 ml BSA-PCP at 8 mg/ml.

## Example 16

## <u>Preparation of Phencyclidine Analogue Colloidal Gold</u> Conjugate

A solution (4.7 ml) containing BSA (22 mg) and BSA-PCP (5.6 mg) in 10 mM MES, pH 5.5 was added in a bolus to colloidal gold (105 ml) in 10 mM MES, pH 5.5 with rapid stirring. After complete mixing the stirring was stopped and the solution was incubated at room temperature for 1 h. The colloidal gold conjugate was subjected to dia-filtration against 50 mM potassium phosphate, 10 mM potassium borate, pH 7, using a tangential flow device (Sartorius Easy Flow, molecular weight cutoff was 100,000) to remove BSA and BSA-PCP which was not bound to colloidal gold. The gold conjugate was diluted for the assay of PCP into a buffered solution containing 10 mg/ml bovine serum albumin at pH 7.5.

## Example 17

## Preparation of anti-Phencyclidine Antibody Latex

Surfactant-free polystyrene particles (Interfacial Dynamics Corp., Portland, OR; 0.074 ml of 9.4% solids, 0.4 µm) was added while vortexing to anti-phencyclidine monoclonal antibody (0.926 ml of 5.86 mg/ml in 0.1 M MES, pH 5) and the suspension was incubated at 45°C for 2 h. The suspension was subjected to centrifugation to pellet the latex particles. The pellet was washed three times by centrifugation and resuspension of the pellet with 10 mM MES, 0.1 mg/ml trehalose, pH 5.5. The final pellet was resuspended in the wash buffer at a solids concentration of 1%.

## Example 18

Preparation of Latex-Immobilized Affinity-Purified Goat IgG Antibody Against the Fc Fragment of Mouse IgG (Goat anti-mouse Fc latex)

Affinity-purified goat anti-mouse (Fc (Immunosearch) 5 and polystyrene latex particles (sulfated, (Interfacial Dynamics) were incubated separately at 45°C for one hour, the antibody solution being buffered with 0.1 M 2-(N-morpholino) ethane sulfonic acid at pH 5.5. 10 While vortexing the antibody solution, the suspension of latex particles was added to the antibody solution such that the final concentration of antibody was 0.3 mg/ml and the solution contained 1% latex solids. The suspension was incubated for 2 hours at 45°C prior to centrifugation 15 of the suspension to pellet the latex particles. latex pellet was resuspended in 1% bovine serum albumin in phosphate-buffered-saline (PBS) and incubated for one hour at room temperature. Following centrifugation to pellet the latex, the pellet was washed three times by resuspension in PBS and centrifugation. The final pellet was 20 resuspended in PBS containing 0.1% sodium azide at pH 7.0 at a latex concentration of 1% solids.

#### Example 19

## Assay for Phencyclidine Using the Diagnostic Element

The diagnostic element described in Example 8 was used for the assay of phencyclidine (PCP). Urine samples (133 μl) containing 0, 100, 200 and 300 ng/ml PCP were added to tubes containing a lyophilized buffer formulation (containing 10 mM potassium phosphate, 150 mM sodium chloride and 10 mg/ml BSA, pH 8) and phencyclidine analogue colloidal gold conjugate (4 μl) was added and the solution was vortexed. Anti-PCP antibody (2.8 μl of 0.1 mg/ml) was added to each tube and the solutions were vort xed and incubated at room temperature for 5 min. Goat anti-mouse Fc latex (50 ml of a 1% suspension) was added to the tubes, the tubes were vortexed and incubated at room

15

20

temperature for 10 min. The solutions were then filtered to remove the complex of the PCP analogue gold conjugate: anti-PCP antibody:goat anti-mouse latex from the reaction mixture using a Gelman Acrodisc® 3 syringe filter (0.45 μm). The filtrates of the reaction mixtures (20 μl) were applied to the diagnostic elements described in example 8. The reaction mixture flowed onto the diagnostic element from the sample reservoir and over the capture zone. An absorbent tissue placed 1 cm after the capture zone removed the used reagent from the diagnostic element. The color density of the capture zones was measured instrumentally using a Minolta Chroma Meter CR 241. The ΔE\* values for the 0, 100, 200 and 300 ng/ml samples were 0.69, 9.28, 14.04 and 21.6, respectively.

Although the foregoing invention has been described in some detail by way of illustration and example, it will be obvious that certain changes or modifications may be practiced within the scope of the appended claims. As used herein, references to "preferred" embodiments refer to best modes for practicing the invention.

25

30

55

## Claims

- Diagnostic assay device comprising:
  - i. a sample addition reservoir;
- ii. a sample reaction barrier between said 5 sample addition reservoir and said reaction chamber;
- means for fluid flow from a first capillary in said sample reaction barrier (ii) to a second capillary at a reaction chamber (iv), said first capillary having a greater capillarity than said second capillary, and a wall 10 substantially perpendicular to fluid flow in said first capillary, said wall located at the interface between said first capillary and said second capillary, said means comprising grooves in said wall substantially perpendicular to fluid flow in said first capillary, said grooves having widths of between 0.5mm to 2mm wide and 0.1mm to 1.5 mm in depth;
  - reaction chamber; iv.
  - time gate for delaying fluid flow from said reaction chamber (iv) to a diagnostic element (vi) said time gate located between said reaction chamber and said diagnostic element, said diagnostic element adapted to receive fluid flow from said reaction chamber through said time gate by capillary action, said time gate comprising at least one hydrophobic surface which is capable of binding at least one component present in said fluid; and whereby the delay of fluid flow is related to the rate of binding the component to said hydrophobic surface which changes said hydrophobic surface into a sufficiently hydrophilic surface to allow fluid to flow into said diagnostic element (vi);
  - diagnostic element capable of immobilizing at least one target ligand in at least one zone; vii. used reagent reservoir.
    - Diagnostic assay device comprising: 2.
- 35 a sample addition reservoir;

- ii. a sample reaction barrier between said sample addition reservoir of said reaction chamber;
- iii. means for fluid flow from a first capillary in said sample reaction barrier (ii) to a second capillary at a reaction chamber (iv), said first capillary having a greater capillarity than said second capillary, and a wall substantially perpendicular to fluid flow in said first capillary, said wall located at the interface between said first capillary and said second capillary, said means comprising grooves in said wall substantially perpendicular to fluid flow in said first capillary, said grooves having widths of between 0.5mm to 2mm wide and 0.1mm to 1.5 mm in depth;

## iv. reaction chamber;

- time gate for delaying fluid flow from said v. 15 reaction chamber (iv) to a diagnostic element (vi) said time gate located between said reaction chamber and said diagnostic element, said diagnostic element adapted to receive fluid flow from said reaction chamber through said time gate by capillary action, said time gate comprising 20 at least one hydrophobic surface which is capable of binding at least one component present in said fluid; and whereby the delay of fluid flow is related to the rate of binding the component to said hydrophobic surface which 25 changes said hydrophobic surface into a sufficiently hydrophilic surface to allow fluid to flow into said diagnostic element (v);
  - vi. diagnostic element capable of immobilizing at least one conjugate in an amount related to the amount of target ligand in a fluid sample in at least one zone; vii. used reagent reservoir.
    - 3. Diagnostic assay device comprising:
      - i. a sample addition reservoir;
- ii. a sample reaction barrier betwe n said sam-35 ple addition reservoir and said reaction chamber;

- iii. means for fluid flow from a first capillary in said sample reaction barrier (ii) to a second capillary at a reaction chamber (iv), said first capillary having a greater capillarity than said second capillary, and a wall substantially perpendicular to fluid flow in said first capillary, said wall located at the interface between said first capillary and said second capillary, said means comprising grooves in said wall substantially perpendicular to fluid flow in said first capillary, said grooves having widths of between 0.5mm to 2mm wide and 0.1mm to 1.5 mm in depth;
  - iv. reaction chamber;
- v. time gate for delaying fluid flow from said reaction chamber (iv) to a diagnostic element (vi) said time gate located between said reaction chamber and said diagnostic element, said diagnostic element adapted to receive fluid flow from said reaction chamber through said time gate by capillary action, said time gate comprising at least one hydrophobic surface which is capable of binding at least one component present in said fluid; and whereby the delay of fluid flow is related to the rate of binding the component to said hydrophobic surface which changes said hydrophobic surface into a sufficiently hydrophilic surface to allow fluid to flow into said diagnostic element (v);
  - vi. diagnostic element capable of immobilizing at least one target ligand in at least one zone;
    - vii. flow control means;
    - viii. used reagent reservoir.
- 30 4. Diagnostic assay device comprising:
  - i. a sample addition reservoir;
  - ii. a sample reaction barrier between said sample addition reservoir and said reaction chamber;
- iii. means for fluid flow from a first capillary
  in said sample reaction barrier (ii) to a second capillary
  at a reaction chamber (iv), said first capillary having a

greater capillarity than said second capillary, and a wall substantially perpendicular to fluid flow in said first capillary, said wall located at the interface between said first capillary and said second capillary, said means comprising grooves in said wall substantially perpendicular to fluid flow in said first capillary, said grooves having widths of between 0.5mm to 2mm wide and 0.1mm to 1.5 mm in depth;

iv. reaction chamber;

- reaction chamber (iv) to a diagnostic element (vi) said time gate located between said reaction chamber and said diagnostic element, said diagnostic element adapted to receive fluid flow from said reaction chamber through said time gate by capillary action, said time gate comprising at least one hydrophobic surface which is capable of binding at least one component present in said fluid; and whereby the delay of fluid flow is related to the rate of binding the component to said hydrophobic surface which changes said hydrophobic surface into a sufficiently hydrophilic surface to allow fluid to flow into said diagnostic element (v);
- vi. diagnostic element capable of immobilizing at least one conjugate in an amount related to the amount of target ligand in a fluid sample in at least one zone;
  - vii. flow control means;
  - viii. used reagent reservoir.
- 5. Device of claim 1 or 2 or 3 or 4 having an optional reagent chamber in fluid contact with said reaction chamber.
  - 6. Device of claim 1 or 2 or 3 or 4 having an optional reagent chamber in fluid contact with said reaction chamber and said sample addition reservoir.

- 7. Device of claim 1 or 2 or 3 or 4 wherein at least one signal producing element and at least one receptor capable of combining with said target is contained in said reaction chamber.
- 8. Device of claim 1 or 2 or 3 or 4 wherein at least one signal producing element and at least one receptor capable of combining with said target is contained on said sample reaction barrier.
- 9. Apparatus for delaying fluid flow from a first zone to a second zone, said second zone adapted to receive fluid flow from said first zone through a time gate by capillary action, said time gate comprising at least one hydrophobic surface which is capable of binding at least one aqueous soluble component present in said fluid; and whereby the delay of fluid movement is related to the rate of binding of the aqueous soluble component to said hydrophobic surface which changes said hydrophobic surface into a sufficiently hydrophilic surface to allow fluid to flow into said second zone.
- Apparatus for delaying fluid flow from a first 20 zone to a second zone, said second zone adapted to receive fluid flow from said first zone through a time gate by hydrostatic pressure, said time gate comprising at least one hydrophobic surface which is capable of binding at least one aqueous soluble component present in said fluid; 25 and whereby the delay of fluid movement is related to the rate of binding of the aqueous soluble component to said hydrophobic surface which changes said hydrophobic surface into a sufficiently hydrophilic surface to allow 30 fluid to flow into said second zone.
  - 11. Apparatus of claim 9 or 10 wherein said apparatus is embedded in a membrane.

20

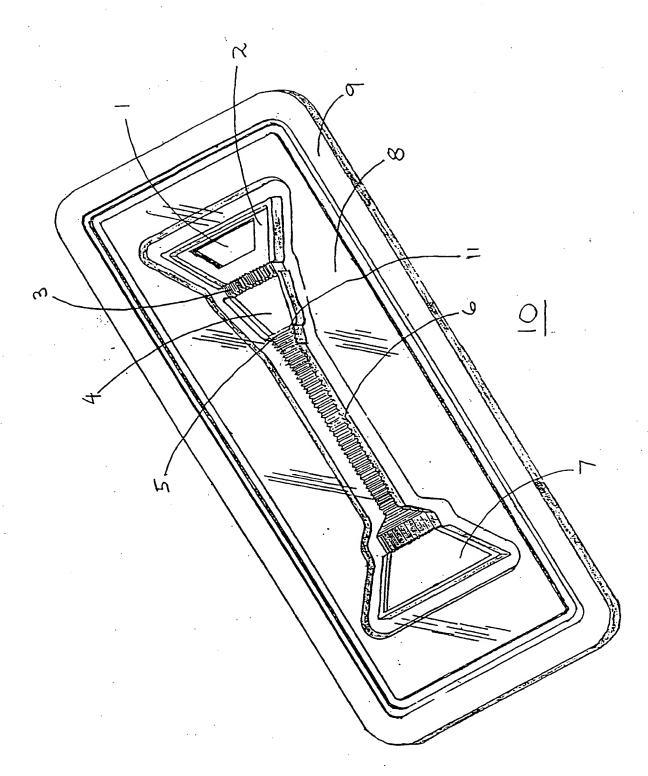
- to a second capillary, said first capillary having a fluid introducing means and having a greater capillarity than said second capillary, and a wall substantially perpendicular to fluid flow in said first capillary, said wall located at the interface between said first capillary and said second capillary, the improvement comprising grooves in said wall substantially perpendicular to fluid flow in said first capillary, said grooves having widths of between 0.5mm to 2mm wide and 0.1mm to 1.5 mm in depth.
  - 13. In an improved diagnostic assay device comprising a nonabsorbent surface capable of immobilizing at least one target ligand in at least one zone, said improvement comprising immobilizing receptor on latex particles, said particles further immobilized on said surface by hydrophobic or electrostatic means.
  - 14. In an improved diagnostic assay device comprising a nonabsorbent surface capable of immobilizing at least one target ligand in at least one zone, said improvement comprising immobilizing receptor on latex particles, said particles further immobilized on said surface by entrapment.
  - 15. A means for containing a fluid in a capillary space comprising:
- two surfaces disposed a capillary distance from one another, at least one of said surfaces having at least one hydrophilic area bordered by a hydrophobic area for the containment of said aqueous fluid substantially within said capillary space defined by the volume between said hydrophilic area and the opposite surface.
  - 16. Diagnostic assay device for detecting a target liquid in a fluid sample, said device comprising:

- i. a reaction chamber containing at least one conjugate specific for said target ligand, and a fluid introducing means for said fluid sample;
- ii. a time gate located between said reaction

  5 chamber (i) and said zone (iii) for delaying fluid flow to
  said zone (iii) for a preselected time sufficient to allow
  said fluid sample to dissolve said conjugate to form a
  reaction mixture, said time gate comprising at least one
  hydrophobic surface which is capable of binding at least

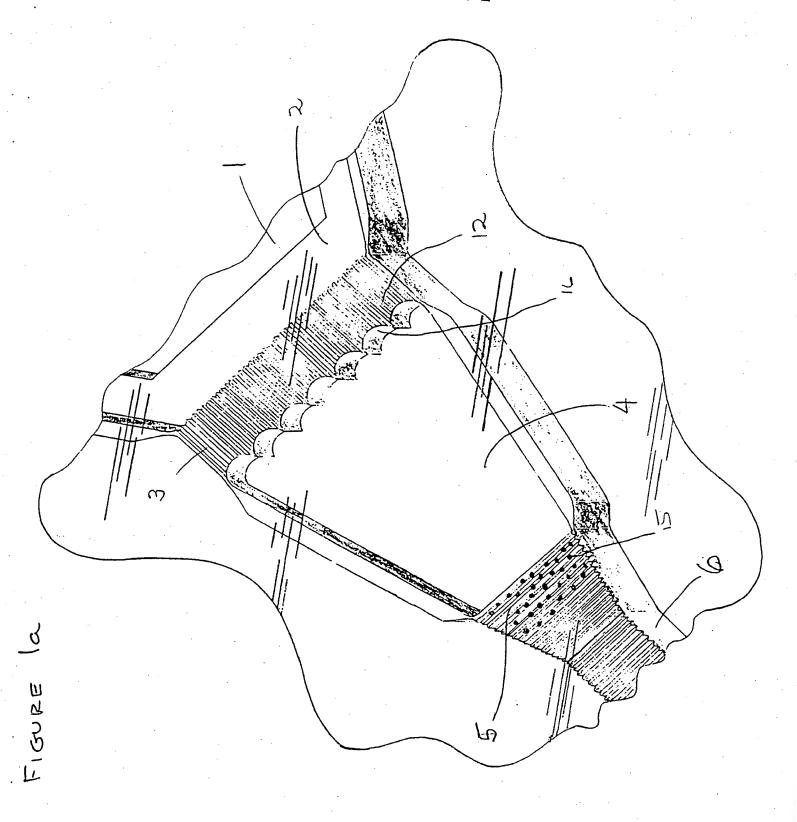
  10 one aqueous soluble component present in said reaction
  mixture, and whereby the delay of said fluid flow is
  related to the rate of binding said aqueous soluble component to said hydrophobic surface which changes said
  hydrophobic surface into a sufficiently hydrophilic sur15 face as to allow said reaction mixture to flow through
  said time gate into said zone (iii); and
  - iii. at least one zone containing at least one second immobilized receptor for each desired target ligand.
- 20 17. Apparatus of claim 9 or 10 in which said component is a protein, polymer or detergent.
  - 18. Apparatus of claim 9 or 10 in which said component is serum albumin.
- 19. Apparatus of claim 9 or 10 in which said 25 component on said hydrophobic surface is latex particles.
- 20. Apparatus of claim 9 or 10 in which said hydrophobic surface is composed of a polyelectrolyte which becomes hydrophilic by exposure to the buffering capacity of said fluid and the timed fluid flow is related to the mass of polyelectrolyte and the buffering capacity and pH of said fluid.

- 21. Device of claim 1 or 2 or 3 or 4 in which said diagnostic element is a grooved surface.
- 22. Device of claim 1 or 2 or 3 or 4 wherein at least one signal producing element capable of combining with said target is contained in said reaction chamber.
  - 23. Device of claim 1 or 2 or 3 or 4 wherein at least one signal producing element capable of combining with said target is contained on said sample reaction barrier.



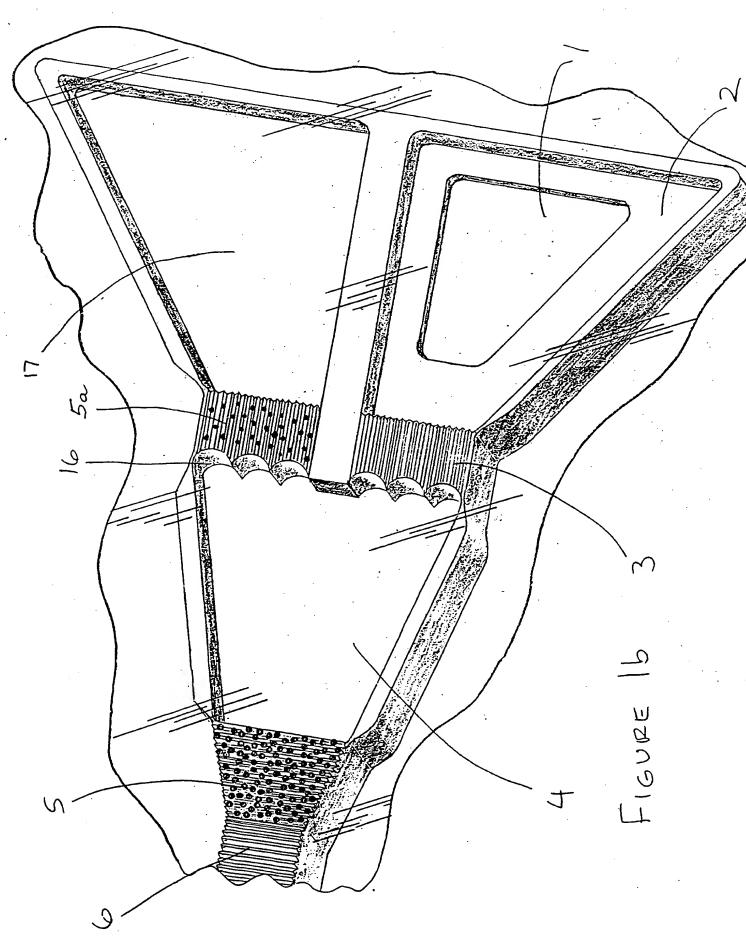
FIGURE

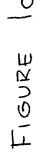
2/12

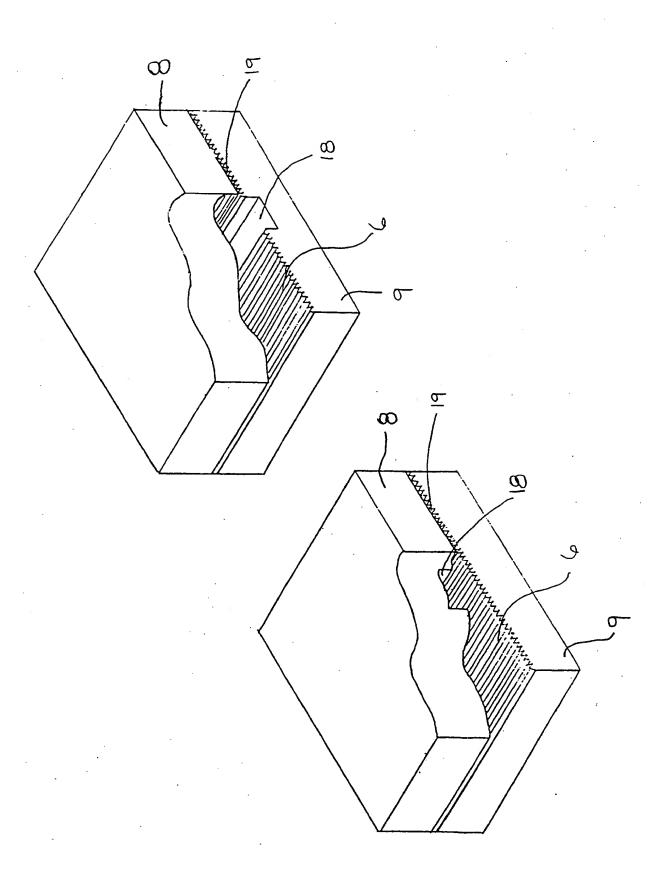


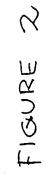
BNSDOCID: «WO 9324231A

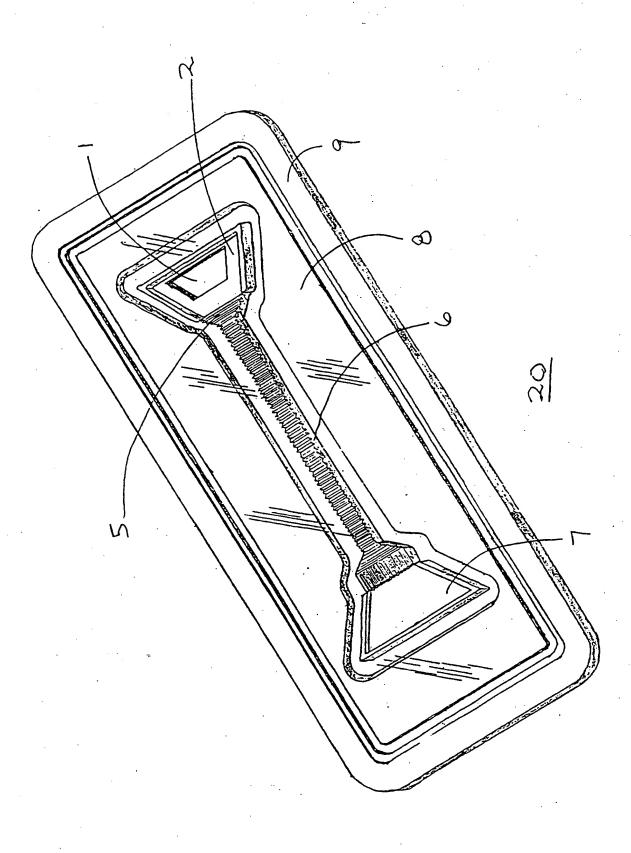
grant and

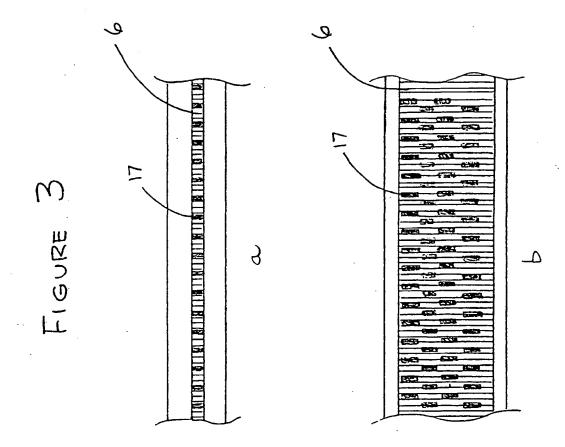












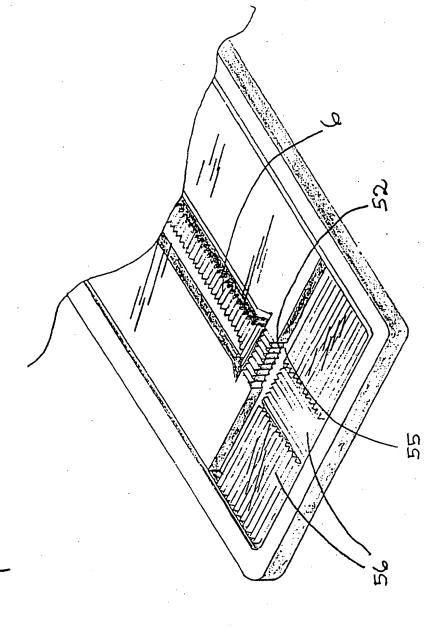
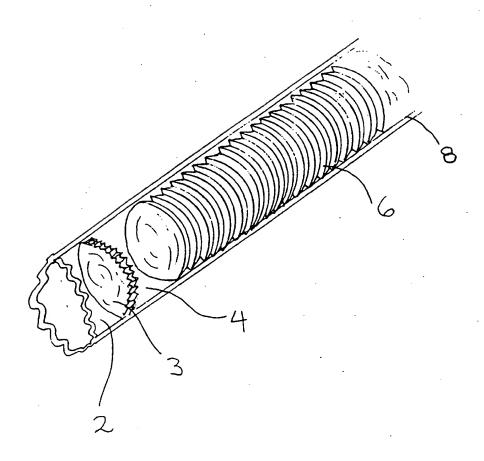


FIGURE "

FIGURE 5



e e

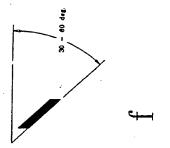
7

ے د

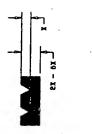
2

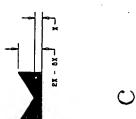
GURE 6

TI,UID FLO

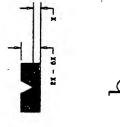


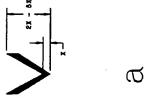












 $\Box$ 

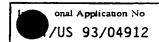
FIGURE



ರ

## INTERNATIONAL SEARCH REPORT





## A. CLASSIFICATION OF SUBJECT MATTER IPC 5 B01L3/00

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

 $\label{eq:minimum documentation searched (classification system followed by classification symbols)} IPC \ 5 \ B01L \ G01N$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

| Category * | MENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|---|-----------------------|
|            |   |                       |
| A          | US,A,4 426 451 (COLUMBUS) 17 January 1984   | 1-4,9,                |
|            | cited in the application  | 10,16                 |
| !          | see column 2, paragraph 3; claims; figures  | ·                     |
| <b>A</b>   | EP,A,O 418 765 (BECTON DICKENSON) 27 March  | 1-4,9,16              |
| -          | 1991  |                       |
| ,          | see abstract; claims  |                       |
| A          | US,A,4 960 691 (GORDON ET AL.) 2 October  | 1-4,9,16              |
|            | 1990  |                       |
| -          | cited in the application  | ·                     |
|            | see abstract; claims  |                       |
|            | see column 26, line 6 - column 27, line 3   | ·                     |
|            | EP,A,O 212 314 (BIOTRACK) 4 March 1987  | 7 0 11                |
| A          | EP, A, U 212 314 (BIUTRACK) 4 March 1987  | 7,8,11,<br>12,15,     |
|            |   | 22,23                 |
|            | see abstract; claim 10  | 22,23                 |
|            | •••   |                       |
| •          | -/  |                       |

| X Further documents are listed in the continuation of box C.   | Patent family members are listed in annex.   |
|--|--|
| *Special categories of cited documents:  A document defining the general state of the art which is not considered to be of particular relevance  E earlier document but published on or after the international filing date  L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  O document referring to an oral disclosure, use, exhibition or other means  P document published prior to the international filing date but later than the priority date claimed | To later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family |
| Date of the actual completion of the international search  | Date of mailing of the international search report   |
| 29 October 1993  | 0 5. 11. 93  |
| Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+31-70) 340-3016   | Authorized officer  LIPP, G  |

Form PCT/ISA/210 (second sheet) (July 1992)

|                         |   | PC1/US 9.     | 3/04316               |
|-------------------------|---|---------------|-----------------------|
| C.(Continua<br>Category | cition) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages |               | Relevant to claim No. |
|                         |   |               |                       |
| <b>A</b>                | US,A,5 089 391 (BUECHLER ET AL.) 18 February 1992 cited in the application see abstract; claims                                 | Ar experience | 13,14,16              |
| <b>\</b>                | EP,A,O 348 006 (PB DIAGNOSTICS) 27 December 1989 see page 4, line 41 - page 6, line 25;   |               | 12,21                 |
|                         | claims 1,3; figures   |               |                       |
| 4                       | US,A,5 006 309 (KHALIL ET AL.) 9 April<br>1991<br>see abstract  |               | 13,14                 |
|                         |   |               |                       |
|                         |   |               |                       |
|                         |   |               |                       |
|                         |   |               |                       |
|                         |   | . *           |                       |
|                         |   |               |                       |
|                         |   |               |                       |
|                         |   |               |                       |
|                         |   |               | ;                     |
| ·                       |   |               |                       |
|                         |   |               |                       |
|                         | w.  |               |                       |
|                         |   | •             |                       |
|                         |   | •             |                       |

## INTERNATIONAL SEARCH REPORT

on on patent family members

onal Application No /US 93/04912

|  |                  | 7/05 93/04912   |  |  |
|--|------------------|---|--|--|
| Patent document cited in search report | Publication date | Patent family<br>member(s)  | Publication<br>date  |  |
| US-A-4426451                           | 17-01-84         | CA-A- 1189431<br>EP-A,B 0057110<br>JP-C- 1587285<br>JP-B- 2008775<br>JP-A- 57156028   | 25-06-85<br>04-08-82<br>19-11-90<br>27-02-90<br>27-09-82   |  |
| EP-A-0418765                           | 27-03-91         | AU-A- 6198190<br>CA-A- 2024458<br>JP-A- 3118473<br>US-A- 5185127  | 28-03-91<br>22-03-91<br>21-05-91<br>09-02-93   |  |
| US-A-4960691                           | 02-10-90         | AU-B- 598871<br>AU-A- 7903187<br>DE-A- 3783845<br>EP-A,B 0262328<br>JP-A- 63096559  | 05-07-90<br>31-03-88<br>11-03-93<br>06-04-88<br>27-04-88   |  |
| EP-A-0212314                           | 04-03-87         | US-A- 4756884 AU-B- 593001 AU-A- 6088486 CA-A- 1275231 EP-A- 0483117 EP-A- 0485368 EP-A- 0488994 JP-A- 62129759 US-A- 4963498 US-A- 4948961 US-A- 5004923 US-A- 5164598 US-A- 5144139 US-A- 5204525 US-A- 5140161 | 12-07-88<br>01-02-90<br>12-02-87<br>16-10-90<br>29-04-92<br>13-05-92<br>03-06-92<br>12-06-87<br>16-10-90<br>14-08-90<br>02-04-91<br>17-11-92<br>01-09-92<br>20-04-93<br>18-08-92 |  |
| US-A-5089391                           | 18-02-92         | US-A- 5028535<br>AU-B- 633874<br>AU-A- 5021590<br>CA-A- 2007532<br>EP-A- 0378391<br>JP-T- 3503215<br>WO-A- 9008319  | 02-07-91<br>11-02-93<br>13-08-90<br>10-07-90<br>18-07-90<br>18-07-91<br>26-07-90   |  |

## INTERNATIONAL SEARCH REPORT

ormation on patent family members

Intuitional Application No PCT/US 93/04912

| Patent document cited in search report | Publication date | Patent family member(s)                         |                    | Publication<br>date                          |
|--|------------------|---|--------------------|--|
| EP-A-0348006                           | 27-12-89         | AU-B- 610997 30-05-91<br>AU-A- 3103589 04-01-90 |                    | 24-09-91<br>30-05-91<br>04-01-90<br>27-12-89 |
| US-A-5006309                           | 09-04-91         | US-A-<br>US-A-                                  | 5244630<br>5198368 | 14-09-93<br>30-03-93                         |